Monday, November 11, 2019
Skadden Conference Center
Fordham Law School

This program is presented by Fordham Law School with support from the Center on Law and Information Policy, the Fordham Corporate Law Center, the Wall Street Blockchain Alliance and the Fordham Blockchain Law Society.

Fordham Law School is thankful for the generous support of:

- Cravath, Swaine & Moore LLP
- Chapman and Cutler LLP
- Cleary Gottlieb Steen & Hamilton LLP
- Fidelity Digital Assets
- Latham & Watkins LLP
- Linklaters LLP
- Wachtell, Lipton, Rosen & Katz
- Shearman & Sterling LLP
- Skadden Arps Slate Meagher & Flom LLP
Program

8:00–8:45 a.m.
Registration: Breakfast & Coffee

8:45–9:00 a.m.
Welcome Remarks
Matthew Diller  
*Dean and Paul Fuller Professor of Law,*  
Fordham University School of Law
Donna Redel  
Symposium Co-Founder (’95)
Joyce Lai  
Symposium Co-Founder (’13)

9:00–10:00 a.m.
Panel
Overview of Crypto-Digital Asset Regulatory Landscape
The blockchain regulatory landscape is evolving rapidly. There are many challenges and opportunities inherent in the regulatory framework as well as the adoption/scaling of the technology. This session will focus on what are the key issues facing the industry and if we are moving in the right direction to create greater legal certainty. The panel will highlight issues that will be addressed throughout the day.

Panelists
Nick Grossman  
*Partner,* Union Square Ventures
Stuart Levi  
*Partner,* Skadden, Arps, Slate, Meagher & Flom LLP
Georgia Quinn  
*General Counsel,* CoinList
Aaron Wright  
*Associate Clinical Professor of Law,* Cardozo School of Law

10:00–10:30 a.m.
Fireside Chat with Amy Starr
Amy Starr  
*Chief Advisor for FinTech Capital Markets at Division of Corporation Finance,* *FinHub Member,* Securities and Exchange Commission
Interviewed by Kenneth Raisler, *Of Counsel,* Sullivan & Cromwell LLP

10:30–10:45 a.m.
Keynote: Linda Lacewell
Linda Lacewell  
*Superintendent,* New York State Department of Financial Services
Introduction by James Jalil, *Adjunct Professor of Law,* Fordham University School of Law

10:45–11:10 a.m.
Fireside Chat with Noah Phillips
Noah Phillips  
*Commissioner,* Federal Trade Commission
Interviewed by Joyce Lai, *Law & Technology,* ConsenSys

11:10–11:25 a.m.
Coffee Break

11:25 a.m.–12:25 p.m.
Roundtable
At The Frontier of a Reimagined Legal Landscape
We have begun to see the development of blockchain-based solutions to collateralize assets, tokenize securities, and create liquidity for private companies and traditionally illiquid assets. In many cases, the relevant existing legal framework is sufficient to cover the digital assets activity, with some adjustments and clarifications needed. However, many other blockchain-based financial solutions do not fit neatly into the current legal framework. This roundtable discussion will focus on the latter, considering whether gentle tweaks to the existing law is sufficient, or something more is needed.
Facilitators

David Adlerstein
Counsel, Wachtell, Lipton, Rosen & Katz

Lewis Cohen
Founder & Partner, DLxLaw

Andrea Tinianow
Founder, Tinianow Consulting

Roundtable Participants

Paul Brody
Principal & Global Innovation Leader, Blockchain, Ernst & Young

Mary Beth Buchanan
General Counsel, Kraken Cryptocurrency Exchange

Preston Byrne
Partner, Byrne & Storm, P.C.

Troy Paredes
Founder, Paredes Strategies LLC and Former Commissioner, Securities and Exchange Commission

Donna Parisi
Partner, Shearman & Sterling LLP

Sandra Rocks
Counsel, Cleary Gottlieb Steen & Hamilton LLP

Gregory E. Xethalis ’05
Partner, Chapman and Cutler LLP

Keynote: Caitlin Long

Caitlin Long
Gubernatorial Appointee, Wyoming Blockchain Task Force, Chairman, WyoHackathon

Facilitators

Era Anagnosti
Partner, White & Case LLP

Kristin Boggiano
Chief Legal Officer and Head of Government Affairs, AlphaPoint

David Forman
Senior Vice President & Deputy General Counsel, Fidelity Digital Assets

Roundtable Participants

David Aman
Senior Advisor, FINRA

Scott Bennett
Partner, Cravath, Swaine & Moore LLP

Jenny Leung
Attorney, Blakemore Fallon

Yorke Rhodes
Co-Founder, Blockchain at Microsoft, Principal Program Manager Blockchain Programming, Microsoft Azure

Joel Telpner
Senior Partner, Chair of Fintech and Blockchain Group, Sullivan & Worcester LLP

Kevin Werbach
Professor of Legal Studies and Business Ethics, Wharton School, University of Pennsylvania

Steve Wink
Partner, Latham & Watkins LLP

12:30–1:30 p.m.
Lunch Sponsored by Fidelity Digital Assets

1:30–2:30 p.m.
Roundtable
Emerging Risks and Legal Issues in Moving Digital Assets Mainstream
This roundtable will gather the perspective of the participants on what the term “mainstream” means. Does “mainstream” refer to further institutional adoption, or usage by retail customers, or both? The roundtable will focus on the key topic of custody of digital assets. What are the friction points and difficulties in fitting digital assets in the current regulatory framework? What are the potential solutions for custody? The recent IRS guidance highlights the difficulty in dealing with “airdrops” and “hard forks” of blockchain protocols. How does FATF and the recent Joint Statement from FinCEN, the SEC and the CFTC impact digital assets - “securities,” “commodities,” “currency,” or possibly multiple classifications simultaneously.
2:30–2:45 p.m.
Coffee Break

2:45–3:45 p.m.
Lightning Talk: Mark Patterson, Professor of Law, Fordham University School of Law
Smart Contracts: Lawyers Learning to Code is the Future!

Panel
Corporate Governance, Shareholder Voting and the Blockchain: What Does the Future Hold?
Companies, investors, academics and regulators all complain about the current proxy voting system, which is generally considered to be inaccurate, overly complicated and unnecessarily expensive. Many hope blockchain technology can facilitate a new, simpler system that would be more user friendly and accurate. This panel of experts will discuss the various legal, regulatory and practical issues that must be faced by those seeking to change the current system, and the opportunities presented by the new technology.

Moderator
David Berger
Partner, Wilson Sonsini Goodrich & Rosati P.C.

Panelists
Ken Bertsch
Executive Director, Council of Institutional Investors

George Geis
Professor of Law, University of Virginia School of Law

Rebecca Simmons
Partner and Co-Head of FinTech Practice, Sullivan & Cromwell LLP

Will Turner
Partner, Steptoe & Johnson LLP

3:45–4:40 p.m.
Panel
An Overdue Payments Revolution: Public and Private Digital Currencies and other Payment Rail Innovations
A macro shift is happening. Libra cracked wide open the discussion on cryptocurrency. The Bank of England, the Federal Reserve, the European Union, the Internal Monetary Fund, the ex Chairman of the CFTC, China and other nations are discussing and experimenting with digital currencies. The U.S. Congress is raising concerns about the association of personal financial information with large technology companies who have recently come under scrutiny due to privacy concerns. What types of policies and changes need to happen at the international, U.S. federal and U.S. state level in order to strike a healthy balance between large technology companies, sovereign national interests and consumer privacy rights?

Moderator
Donna Redel
Co-Founder Fordham Law Symposium and Adjunct Professor of Law, Fordham University School of Law, New York Angels, Board Member

Panelists
Brian Avello
General Counsel, Maker Economic Growth Foundation

Gary DeWaal
Special Counsel, Katten Muchin Rosenman L.L.P.

Christine T. Parker
Partner, Reed Smith LLP

Andrew Siegel
General Counsel, Galaxy Digital LP

4:40–5:00 p.m.
Fireside Chat
A Conversation with a Director from The Commodity Futures Trading Commission
5:00–6:00 p.m.
**Lightning Talk:** George and Michael Weiksner, Founders, Pocketful of Quarters, Inc.
Moderated by Lewis Cohen, Founder and Partner, DLxLaw

**Panel**

**State Level Initiatives in Blockchain Legislative Solutions**

States are finding ways to innovation and capture market economics as the blockchain industries begin to take form. In the area of digital assets, laws and regulations present challenges to our courts, regulators and commercial interests. This panel of exceptional jurists and regulators will discuss how our existing legal and regulatory systems will meld with the new, and where law and regulation in the United States will need to evolve to keep pace with innovation.

*Moderator*

**Bob Cornish**
Partner, Anderson Kill P.C.

*Panelists*

**Chris Gerold**
Bureau Chief, New Jersey Bureau of Securities Chief and President Elect at North American Securities Administrators Association (NASAA)

**Hon. Jan Jurden**
President Judge, Superior Court of Delaware

**Chris Land**
General Counsel, Wyoming Division of Banking

**Liz M. Tanner**
Director, Department of Business Regulation of the State of Rhode Island

---

6:00–7:00 p.m.

**Closing Remarks**

*Speakers*

Fordham Law Blockchain Society, Kevin Aguirre, Chairman; James Fitzpatrick & Natalia Venegas, Co-Presidents

---

**Toast**

**Jonathan Ching**
Partner, Linklaters L.L.P.

---

Thank you to the J.D. and LL.M. students who are “Blockchain Aids.” They have assisted in all aspects of the program and have been included in conversations with many of the keynote panelists. They are helping to welcome visitors and run the event. You can recognize them by the maroon ribbon on their badges.

The Symposium is a full day event that will draw upon the collective wisdom and experience of academics, legal practitioners, judges and business leaders to address various regulatory challenges posed by this rapidly developing field.
David M. Adlerstein is counsel in the Corporate Department at Wachtell, Lipton, Rosen & Katz. His practice focuses on mergers and acquisitions, corporate, securities law and regulatory matters, with a focus on financial institutions and technology transactions. Mr. Adlerstein has worked on a broad array of public and private company acquisitions, divestitures, joint ventures, securities offerings and corporate governance matters. He frequently writes and speaks about blockchain technology, cryptocurrencies and smart contracts. He also provides counsel to several nonprofit organizations on a pro bono basis.

Born in Cambridge, England, Mr. Adlerstein received a B.A. cum laude in Philosophy and History from Brandeis University in 1994 and a M.A. in European Politics from Lund University in Lund, Sweden in 1999. He received his J.D. from Columbia Law School in 2002, where he was a notes editor of the Columbia Law Review and a three-time Harlan Fiske Stone Scholar. Prior to joining Wachtell Lipton as a corporate associate in 2005, Mr. Adlerstein was an associate at Cravath, Swaine & Moore LLP. Before beginning his legal career, Mr. Adlerstein served as a sergeant in the Paratroopers Brigade of the Israel Defense Forces.

Mr. Adlerstein is a member of The Economic Club of New York and The Wall Street Blockchain Alliance and chairs the Financial Services working group of The Accord Project, a smart contracts consortium.

David Aman is a Senior Advisor in Risk Oversight and Operational Regulation (ROOR) within Member Supervision at FINRA, where he advises ROOR examination and policy staff on legal issues and the development, interpretation and application of financial, operational and margin rules. Mr. Aman was formerly a counsel at Debevoise & Plimpton and a partner at Cleary Gottlieb Steen & Hamilton. Mr. Aman’s practice was focused on broker/dealer and margin regulation, financial institution insolvency and commercial law, securities finance transactions, and the structuring of financial products and transactions to address regulatory requirements and insolvency risk. He received a B.A. in math and philosophy from Rice University, a M.A. in philosophy from the University of Michigan and a J.D. from the University of Michigan Law School.

---

Era Anagnosti is a partner in the Global Capital Markets and the Public Company Advisory Groups at White & Case LLP. She advises clients in connection with their disclosure requirements under the Securities Act of 1933 and the Exchange Act of 1934. Ms. Anagnosti has extensive experience in reviewing securities filings for a large number of public companies, including large financial institutions, investment advisors and other financial services firms. She is a member of The Economic Club of New York and The Wall Street Blockchain Alliance and chairs the Financial Services working group of The Accord Project, a smart contracts consortium.
services companies. Her expertise extends to cutting-edge securities matters in Fintech, including advising on disclosure and compliance issues for alternative finance companies, marketplace lending platforms and security token offerings. She advises clients in structuring Blockchain-based platforms for compliance with federal securities laws. She speaks often on regulatory compliance issues in connection with the offer and sale of digital securities, as well as public company disclosure matters. In addition to advising clients on issues involving complex security compliance questions, Ms. Anagnosti advises public companies in connection with their Exchange Act reporting obligations and corporate governance matters. Prior to joining White & Case, Ms. Anagnosti worked at the US Securities and Exchange Commission (SEC) for more than ten years, serving also as Acting Assistant Director in the Office of Financial Services, Division of Corporation Finance. From December 2017 through October 2018, Ms. Anagnosti managed the Division's review program for all token offerings. Prior to joining the SEC, Ms. Anagnosti was a corporate transactional attorney at two leading international law firms.

---

**Brian Avello**  
General Counsel  
Maker Economic Growth Foundation

Brian C. Avello is the General Counsel for the Maker Economic Growth Foundation, a company working in support of the Maker Protocol. Brian joined the Maker team in July 2018. With Maker, he leads not only the Foundation’s Legal function, but also regularly interacts with government actors in the U.S. and beyond on issues pertinent to the burgeoning decentralized finance space.

Brian began his career in federal court litigation, but soon shifted his practice to early stage company counseling. In early 2016, he began his work with blockchain projects and investors, guiding teams through startup investment, corporate and cooperative governance and structuring, and money transmission issues.

---

**Scott Bennett**  
Partner  
Cravath, Swaine & Moore LLP

Scott Bennett is a partner in Cravath’s Corporate Department. His practice primarily focuses on representing issuers and investment banking firms in connection with public and private offerings of securities, as well as representing corporate clients in mergers and acquisitions. Mr. Bennett advises clients across a broad range of industries, such as consumer products, oil and gas, financial services, healthcare, industrials and chemicals, media and entertainment, technology, telecommunications, shipping and transportation and blockchain and financial technology (FinTech).

Mr. Bennett has been recognized for his work in the capital markets arena by *The Legal 500 United States* from 2014 through 2019; *The Legal 500 Latin America* from 2015 through 2017; *IFLR1000* from 2016 through 2019; and *Super Lawyers* in 2016 and 2017. He was named a “Rising Star” by *Law360* in 2018, recognizing him as one of three outstanding capital markets lawyers in the nation under the age of 40. From 2017 through 2019, Mr. Bennett was named a “Next Generation Lawyer” in both the Capital Markets: Equity Offerings and Capital Markets: Global Offerings categories by *The Legal 500 United States*. In addition, he has been recognized as a leading practitioner in mergers and acquisitions by *The Legal 500 Latin America* in 2016 and 2017.

Mr. Bennett recently co-authored “Digitized Securities and the Promise of Automated
Mr. Bennett was born in Sarasota, Florida. He received a B.A. in Economics from Duke University in 2002. Mr. Bennett received a J.D. with High Honors from Emory University in 2006 where he was valedictorian, a notes and comments editor of the Law Journal and was elected to the Order of the Coif. He joined Cravath in 2006 and became a partner in 2014. Mr. Bennett currently serves as the Firm’s Corporate Hiring Partner and is a member of the Firm’s Diversity Committee.

---

David Berger
Partner
Wilson Sonsini Goodrich & Rosati

David Berger specializes in corporate governance and M&A litigation as well as rapid response shareholder activism and corporate governance risk oversight. David’s practice is an unusual blend of corporate governance advisory work and litigation, and he is nationally recognized for his expertise in both the boardroom and the courtroom. David also represents directors and companies in internal investigations and public companies on disclosure and SEC proceedings.

David has represented many leading technology and other companies in a variety of governance matters, including Google, Hewlett-Packard, Tesla, Genentech, Dropbox, Box, TD Ameritrade, Copart, Lumentum, Coherent and Chevron. In addition, David represents many leading investment banks and private equity firms, including Morgan Stanley, SilverLake, TPG, Oak Hill, Francisco Partners, and Qatalyst Partners.

David is a Senior Fellow at NYU’s Center for Corporate Governance and Finance, and is a visiting Professor at NYU Law School. David taught M&A Litigation at Harvard Law School in 2018, and has been a visiting lecturer at a number of other leading law schools, including Duke, Stanford, Hebrew University and Tel Aviv University among others.

David is an advisor to the American Law Institute’s Restatement on Corporate Governance project. David is a member of the American College of Governance Counsel, and previously served as counsel to the NYSE’s Commission on Corporate Governance as well as the NYSE’s Proxy Working Group. David writes frequently on corporate law and governance matters, and his articles have appeared in a number of scholarly journals and business publications. David also regularly speaks at corporate governance and investor forums, including frequently addressing governance issues faced by Silicon Valley companies.

David has been at WSGR since 1989. Among other leadership roles, David has been a member of the Firm’s board of directors, served as chair of the Policy Committee and chair of the firm’s Pro Bono Committee.

David sits on the advisory board of Faurecia, a global leader in manufacturing auto parts based in Paris, and served as General Counsel to the Super Bowl 50 Host Committee. David serves on many civic boards, including the Aspen Institute's Business and Society Program board of advisors and the San Francisco Symphony, where he is a member of the audit and finance committees. David also has an active pro bono practice, including serving as lead trial counsel for a variety of public interest groups.

David previously served on the board of directors of the California Culinary Academy (NASDAQ:COOK), including serving on the company's transactions committee.

---
Ken Bertsch  
Executive Director  
Council of Institutional Investors

Bertsch was named Executive Director of the Council of Institutional Investors in 2016. He has more than 30 years of experience across a wide range of investment, consulting, management and corporate governance roles. He most recently served as a Partner at CamberView Partners. He previously was President and CEO of the Society of Corporate Secretaries & Governance Professionals; Executive Director for corporate governance and proxy voting at Morgan Stanley Investment Management; Managing Director for corporate governance analysis at Moody's Investors Service; Director of the governance engagement program at TIAA-CREF; and in various roles at the Investor Responsibility Research Center. He holds a JD from Fordham University School of Law and an undergraduate degree from Williams College.

---

Kristin Boggiano  
Chief Legal Officer and Head of Government Affairs  
AlphaPoint

Kristin leads AlphaPoint’s legal, regulatory, and government relations functions globally, and she is a member of AlphaPoint’s executive team. Prior to AlphaPoint, Kristin was a Managing Director with Guggenheim Partners, where she advised on asset management, broker-dealer, and insurance issues. Kristin was also Special Counsel at Schulte Roth & Zabel, where she structured complex financial products, launched private businesses, and provided regulatory advice. She also worked at Merrill Lynch on the derivatives desk, beginning her career with roles at the Commodity Futures Trading Commission (CFTC) and the Securities Exchange Commission (SEC). Kristin is also the founder of Women in Derivatives, Inc. (WIND), a global non-profit with more than 6,000 members and a mission to educate and develop women leaders. She earned her law degree and M.B.A. from Northeastern University and her B.A. from Sarah Lawrence College.

---

Paul Brody  
Principal & EU Global Blockchain Leader  
Ernst & Young

Paul is responsible for driving EY’s initiatives and investments in blockchain worldwide. With blockchain services teams in the Americas, Europe and Asia, Paul’s team works with service lines to build a portfolio of services across tax, audit, and business transformation. He has extensive experience in the areas of IoT, supply chain and operations and business strategy, developed over 20 years at multiple start-ups, McKinsey, and at IBM. Paul has a BA in Economics and a certificate in African Studies from Princeton University.

---

Mary Beth Buchanan  
General Counsel  
Kraken Cryptocurrency Exchange

Mary Beth Buchanan is General Counsel for Kraken Cryptocurrency Exchange. She was previously a partner at Bryan Cave LLP in the firm’s White
Collar Defense and Investigations and Securities Litigation and Enforcement Client Service Groups. She concentrated her practice on white collar criminal defense, SEC and FINRA enforcement matters, corporate and accounting fraud, internal investigations, corporate compliance, foreign corrupt practices violations, Congressional investigations and complex civil litigation.

Ms. Buchanan served as the United States Attorney for the Western District of Pennsylvania from September 2001 to November 2009, having been appointed by President George W. Bush. She is the only woman in Pennsylvania's history to be presidentially appointed to this position. As the United States Attorney, Ms. Buchanan oversaw the prosecution of more than 5,000 cases, including corporate and securities fraud, bank fraud, foreign corrupt practices, false claims, money laundering, health care fraud, public corruption and a broad range of violent crimes. Prior to that time, Ms. Buchanan spent more than 13 years as an Assistant United States Attorney litigating criminal, civil and appellate cases.

During her tenure as the United States Attorney, Ms. Buchanan also held several posts at the DOJ, including serving as the director of the Executive Office for United States Attorneys, acting director of the DOJ’s Office on Violence Against Women and chair of the Attorney General’s Advisory Committee. Ms. Buchanan also served on the U.S. Sentencing Commission's Organizational Guidelines Advisory Committee, which made recommendations to the Commission for amendments to the Sentencing Guidelines for Business Organizations.

---

**Preston Byrne**
Partner
Byrne & Storm, P.C.

Preston J. Byrne, partner, is admitted as an attorney-at-law in Connecticut and as a solicitor in England and Wales.

Preston’s practice focuses on early and growth-stage clients in the field of interactive computer services, including cryptocurrency, blockchain/DLT and social media. He assists clients with a broad range of corporate legal matters typically encountered by cutting-edge companies, including government and media relations, internet regulation, intellectual property, data privacy, terms of service, product design, and financing.

Prior to joining Byrne & Storm, Preston practiced in London, UK as a securitization lawyer with Bryan Cave Leighton Paisner LLP and Norton Rose Fulbright LLP, and later as the Chief Operations Officer and General Counsel of Monax Industries, which he co-founded. Monax built the first permissioned blockchain client in 2014. The design has since evolved into the market-leading Hyperledger Burrow permissioned Ethereum blockchain node. Preston is also a fellow of the Adam Smith Institute, the free market think tank, also in London, where his policy interests range from housing policy to the intersection of free speech jurisprudence and technology law.

Preston holds an M.A. (Hons) in International Relations from the University of St Andrews in Scotland, an LL.B. in English Law from the University of Law in London, and an LL.M. in U.S. Law from the University of Connecticut.

----

**Lewis Cohen**
Co-Founder
DLxLaw

Lewis Cohen is a co-founder of DLx Law, a new type of law firm for the new economy. Lewis works together with co-founder Angela Angelovska-Wilson and the rest of the DLx
Law team to provide in-depth legal counsel to clients ranging from major enterprises to start-ups, as well as governmental entities in a variety of jurisdictions, on a broad range of matters involving the use of blockchain and distributed ledger technology. Passionate about the impact of this technology, Lewis has become a major advocate for its potential to benefit and transform industries around the globe.

Lewis brings more than 20 years of experience as a traditional capital markets and finance partner at some of the world’s most elite global law firms, with a particular focus on securitization and structured finance. Lewis served on the Board and Executive Committee of the Structured Finance Industry Group (SFIG) and, in 2018, Lewis was honored to have been recognized by Chambers Global as “Band 1” for Legal: Blockchain & Cryptocurrencies - USA.

Lewis is a frequent public speaker on the topic of blockchain and distributed ledger technology and he co-chairs SFIG’s Distributed Ledger Task Force.

---

Robert Cornish
Partner
Anderson Kill LLP

Mr. Cornish is a partner in the Washington, DC offices of Anderson Kill LLP, Bob is a nationally recognized securities attorney concentrating on providing assistance to innovators in the financial services arena, including regulatory compliance and counseling. A former General Counsel for a prominent institutional bond manager and family office, Bob has been an instrumental contributor in creating Wyoming’s favorable ecosystem for blockchain businesses, as well as an ambassador having spoken about Wyoming at events in Tokyo, Zurich, Dublin and Dubai, among others. Bob is admitted to practice in 5 states, including Wyoming, and serves as a Chairperson arbitrator for FINRA, NFA and the London Court of International Arbitration. Bob earned his Bachelor’s degree from Davidson College and his law degree from the Cumberland School of Law, Samford University.

---

Gary DeWaal
Special Counsel
Katten Muchin Rosenman LLP

Gary DeWaal focuses his practice on financial services regulatory matters. He counsels clients on the application of evolving regulatory requirements to existing businesses and structuring more effective compliance programs, as well as assists in defending and resolving regulatory disciplinary actions and enforcement matters. Gary also advises buy-side and sell-side clients, as well as trading facilities and clearing houses, on the developing laws and regulations related to cryptocurrencies and digital tokens.

Previously, Gary was a senior managing director and group general counsel for Newedge, where he oversaw the worldwide Legal, Compliance, Financial Crimes Prevention (including AML) and Regulatory Developments departments. He also worked for the US Commodity Futures Trading Commission’s Division of Enforcement in New York. For several years, Gary taught a course in derivatives regulation as an adjunct professor at Brooklyn Law School. He currently serves as a practitioner faculty and mentor for the State University of New York Buffalo Law School’s New York City Program on Finance & Law.

Gary is frequently quoted in the media for his thoughts on the international financial services industry and has published numerous articles on futures and securities industry issues. He regularly lectures or appears as a speaker at futures and securities industry conferences or in training
sessions for international regulators. Gary is the sole author and publisher of Bridging the Week, a blog addressing issues in the financial services industry. In 2018, Gary was recognized as a “Go-To Thought Leader” by the National Law Review in financial services, and received a “Cryptocurrency, Blockchain and Fintech Trailblazer” award by the National Law Journal. Most recently Gary received a “Reader’s Choice” award by JD Supra and was named by global legal news service Lexology as the Legal Influencer for Financial Services US for the second quarter of 2019.

---

**David A. Forman**  
Senior Vice President & Deputy General Counsel  
Fidelity Digital Assets

David Forman joined Fidelity Investments in 2000. He has served as the Chief Legal Officer of Fidelity Brokerage Services LLC, Fidelity’s retail broker-dealer, since 2008. David has acted as lead counsel for Fidelity Investments on blockchain matters since the Firm began exploring this space several years ago. He sits on the Fidelity Blockchain Steering Committee, and he provides counsel to Fidelity Digital Assets.

Prior to joining Fidelity, David was a securities litigation associate at Willkie Farr & Gallagher in New York, at Choate, Hall & Stewart in Boston, and a partner at Hutchins, Wheeler & Dittmar.

---

**George S. Geis**  
William S. Potter Professor of Law  
Thomas F. Bergin Teaching Professor of Law  
Director, John W. Glynn, Jr. Law & Business Program  
University of Virginia School of Law

George Geis, an expert in corporate law and finance, joined the faculty in 2008. He teaches contracts, corporations, accounting and corporate finance. Geis also is director of the John W. Glynn, Jr. Law & Business Program and faculty adviser for the J.D.-MBA dual-degree program. From 2012-17, he served as vice dean of the Law School.

Geis’ research focuses on problems related to corporate governance, shareholder litigation, corporate finance, contract theory and other issues involving the intersection of law and business. His work has appeared in the Northwestern University Law Review, the New York University Law Review, the University of Chicago Law Review, the Virginia Law Review and many other academic journals. He is also the co-author of a casebook on corporate finance and a book on business partnership and alliance strategies.

Geis received UVA’s All-University Teaching Award in 2019, and his work was named among the top 10 corporate and securities articles of 2018. Before joining the Virginia faculty, Geis taught at the University of Alabama, where he received numerous teaching awards and was selected by the student body as the outstanding faculty member in 2007. He has served as a visiting professor at the University of Chicago, the Indian School of Business in Hyderabad, India, and the University of Auckland in New Zealand.

After graduating from the University of Chicago Law School, Geis spent five years as a management consultant with McKinsey & Company, where he served clients on corporate strategy, mergers, marketing and other issues. He has also worked with
the law firms Wachtell, Lipton, Rosen & Katz in New York and Munger, Tolles & Olson in Los Angeles.

---

**Chris W. Gerold**
Bureau Chief
New Jersey Bureau of Securities

Christopher W. Gerold is the Chief of the New Jersey Bureau of Securities ("Bureau") and, pursuant N.J.S.A. 49:3-66(a), its principal executive officer. As the Bureau Chief, Mr. Gerold is responsible for the administration and enforcement of the New Jersey Uniform Securities Law and regulations, as well as management of the Bureau. The primary mission of the Bureau is the protection of New Jersey investors. The Bureau regulates the offer and sale of securities in New Jersey through its registration of securities offerings, financial professionals and companies, and enforcement of the New Jersey Uniform Securities Law.

Mr. Gerold is also the current President of the North American Securities Administrators Association ("NASAA").

Mr. Gerold started his legal career as a Deputy Attorney General in the Securities Fraud Prosecution Section of the New Jersey Division of Law, where from 2005 to 2010 he represented the Bureau during investigations and as a lead trial attorney in litigated matters. Mr. Gerold represented the Bureau in some of the Bureau's most complex and contentiously litigated matters, including cases involving suitability, Ponzi schemes, penny stock fraud, failures to supervise, and registration.

Before returning to public service, Mr. Gerold was an attorney with the law firm of Chiesa, Shahinian & Giantomasi PC ("CSG"). While at CSG, Mr. Gerold was a member of the firm's Securities Litigation and Enforcement Group, where he conducted internal investigations for broker-dealers. Mr. Gerold also represented financial professionals and companies during regulatory investigations and enforcement actions by the U.S. Securities and Exchange Commission (SEC), the Financial Industry Regulatory Authority (FINRA), and various state securities regulators. Mr. Gerold was also a member of CSG's Disaster Recovery Group, where as the result of Superstorm Sandy, he represented businesses and homeowners in over 200 lawsuits for the underpayment and/or wrongful denial of claims against insurance companies. As a result of these efforts, Mr. Gerold recovered over $23 million for policyholders.

Mr. Gerold received his J.D. degree from Seton Hall Law and his B.S. degree in finance from Villanova University. Prior to attending law school, Mr. Gerold was a registered representative, having passed the Series 7 and 63 securities exams. Mr. Gerold is admitted to the bar in New Jersey and New York.

---

**Nick Grossman**
Partner
Union Square Ventures
@nickgrossman
Nickgrossman.is

Nick Grossman is a partner at Union Square Ventures. His current focus is cryptonetworks / cryptoassets / blockchains, working across the USV portfolio and looking at new investment opportunities. He also works on trust & safety, security, data/privacy, legal, regulatory and policy issues. Previously, he led an incubator for startups at the intersection of cities and data at OpenPlans, with a focus on cultivating open source communities, building open data platforms, and supporting open standards efforts. Nick has a degree in Urban Studies from Stanford University, has held academic affiliations at the MIT Media Lab and Harvard Law
School, and learned everything he knows about technology from generous people on the internet and by using view:source. He grew up in Brooklyn and now lives outside of Boston with his wife and two children.

---

**James Jalil**  
Partner, Chair, India Desk; Chair, Blockchain & Cryptocurrencies Practice  
Thomson Hine LLP

Jim is a former member of the firm's Executive Committee and a partner in the Corporate Transactions & Securities and International practice groups. He also chairs the firm’s India Desk and its Blockchain & Cryptocurrencies group, and is a principal member of the Family Office Services group.

An extensive authority on securities and corporate transactions, in the United States and internationally, Jim counsels clients on contract drafting and negotiations, mergers and acquisitions, public offerings registered with the Securities and Exchange Commission, private placements of securities exempt from registration, venture capital financings, and hedge fund, investment adviser and broker-dealer formation and compliance, and cryptocurrency issues.

---

**Jan R. Jurden**  
President Judge  
Superior Court of Delaware

The Honorable Jan R. Jurden, a Delaware native, was appointed President Judge (“P.J.”) of the Superior Court of Delaware in 2015. She first joined the Superior Court bench in 2001. Before joining the Superior Court, P.J. Jurden practiced law for 13 years with the law firm of Young, Conaway, Stargatt & Taylor, concentrating on corporate, commercial, and personal injury litigation.

After proudly serving three years in the United States Army overseas following high school, P.J. Jurden received her B.A. *summa cum laude* from Muhlenberg College in 1985, and her J.D. from the Dickinson School of Law in 1988, where she was an Articles Editor of the *Dickinson Law Review*, a member of the Woolsack Honor Society, and a recipient of the Gwilyn A. Price, Jr. Memorial Prize and the Abel Klaw Advocacy Prize.

P.J. Jurden was an inaugural member of the Superior Court’s Complex Commercial Litigation Division (“CCLD”) which handles business disputes with damages in excess of one million dollars.

She is a member of the ABA Business Law Section and the American College of Business Court Judges, and the proud recipient of the Delaware State Bar Association’s Outstanding Service to the Courts and Bar Award and the Women’s Leadership Award.

---

**Linda A. Lacewell**  
Superintendent  
New York State Department of Financial Services

Linda A. Lacewell currently serves as Superintendent of the New York State Department of Financial Services. Ms. Lacewell previously served as Chief of Staff and Counselor to the Governor. In that role, she oversaw Executive
Chamber operations, as well as ethics and law enforcement matters.

Prior to that position, Ms. Lacewell was executive director of a cancer foundation initiative in Culver City, California. Prior to that, Ms. Lacewell served as Chief Risk Officer and Counselor to Governor Cuomo where she built and implemented the first statewide system for ethics, risk and compliance in agencies and authorities.

Ms. Lacewell was formerly special counsel to the Governor, as well as the architect of OpenNY, a state-of-the-art open data initiative. She also served as special counsel to Attorney General Cuomo, where she oversaw the public pension fund pay-to-play investigation and the out-of-network health insurance investigation, both of which led to nationwide systemic reform. Prior to that, Ms. Lacewell spent nine years as an assistant U.S. attorney for the Eastern District of New York, including two years on the Enron Task Force, and received the Henry L. Stimson Medal and the Attorney General’s Award for Exceptional Service.

Ms. Lacewell was formerly special counsel to the Governor, as well as the architect of OpenNY, a state-of-the-art open data initiative. She also served as special counsel to Attorney General Cuomo, where she oversaw the public pension fund pay-to-play investigation and the out-of-network health insurance investigation, both of which led to nationwide systemic reform. Prior to that, Ms. Lacewell spent nine years as an assistant U.S. attorney for the Eastern District of New York, including two years on the Enron Task Force, and received the Henry L. Stimson Medal and the Attorney General’s Award for Exceptional Service.

Ms. Lacewell earned her B.A. from New College of the University of South Florida and her J.D. with honors from the University of Miami School of Law. She clerked for a United States District Judge for the Southern District of Florida. Ms. Lacewell serves as an adjunct professor at New York University School of Law, teaching ethics in government, and previously served as an adjunct professor of law at Fordham University School of Law, teaching international criminal law.

---

Joyce Lai
Attorney
Law, Technology and Policy
ConsenSys

Joyce Lai, Esq., is an attorney who works at the intersection of law, technology and policy at ConsenSys, a software development company building applications and tools for blockchain ecosystems and enterprise clients, focusing primarily on Ethereum. She spends her day working alongside the blockchain industry's brightest developers, business people, marketers and other professionals who take great pride in creating a decentralized future. She is active in The Brooklyn Project, a ConsenSys initiative to promote responsible, consumer-friendly tokenization. Prior to ConsenSys, she spent several years advising asset managers as an associate with the investment management group at Seward & Kissel LLP, a New York City law firm. She is a Co-Founder of the Fordham Law Blockchain Regulatory Symposium. @TheJoyceLai

---

Chris Land
General Counsel
Wyoming Division of Banking

Chris is General Counsel of the Wyoming Division of Banking, where he oversees the implementation of Wyoming's blockchain and digital asset laws, as well as supervision of the state’s growing financial services industry. Chris was the primary drafter of the thirteen bills adopted by Wyoming in 2018-19 which set forth a detailed framework for the legal status of digital assets, digital asset custody and the creation of Wyoming's new special purpose depository institution bank charter.

Chris is committed to ethical public service. In his short career to this date, Chris has previously worked for the U.S. House Committee on Ways and Means, the British House of Commons and the Florida Executive Office of the Governor. Chris was also recently a Research Fellow at the Northwestern University Pritzker School of Law. Additionally, this year, Chris was named as a distinguished young alumnus of Florida State University.
Chris is a native of Panama City, Florida and holds a B.S. from Florida State University, a LL.M. in Legislation from the Institute of Advanced Legal Studies, University of London and a J.D. from the University of Minnesota Law School.

---

**Stuart D. Levi**  
Co-Head - Intellectual Property and Technology Group  
Skadden, Arps, Slate, Meagher & Flom LLP

Stuart D. Levi is co-head of Skadden’s Intellectual Property and Technology Group, and he coordinates the firm’s blockchain and digital asset practice. He has been a recognized leader in the technology transaction field for over 30 years and in 2018 was recognized as a National Law Journal Trailblazer in cryptocurrency, blockchain and fintech. Mr. Levi represents a variety of leading companies in the blockchain and cryptocurrency space, ranging from start-ups to multi-national corporations. His work in this space includes work on regulatory, intellectual property, technology, and privacy matters.

---

**Caitlin Long**  
Gubernatorial Appointee, Wyoming Blockchain Task Force; Chairman, WyoHackathon

Caitlin Long is a 22-year Wall Street veteran who has been active in bitcoin and blockchain since 2012. She has been leading the charge to make her native state of Wyoming an oasis for blockchain companies in the US, where she helped Wyoming enact 13 blockchain-enabling laws in 2018 and 2019. From 2016-18 she jointly spearheaded a blockchain project for delivering market index data to Vanguard as chairman and president of Symbiont, an enterprise blockchain start-up. Caitlin ran Morgan Stanley’s pension solutions business (2007-2016), held senior roles at Credit Suisse (1997-2007) and began her career at Salomon Brothers (1994-1997). She is a graduate of Harvard Law School (JD, 1994), the Kennedy School of Government (MPP, 1994) and the University of Wyoming (BA, 1990).

---

**Jenny Leung**  
Attorney  
Blakemore Fallon

Jenny is a blockchain attorney at Blakemore Fallon and former regulatory attorney at the Australian Securities and Investments Commission (“ASIC”). In her capacity at the Australian securities regulator, she assisted in the oversight and supervision of financial market infrastructure and drafted ASIC guidance regarding distributed ledger technology. Jenny has published numerous articles regarding complex areas of blockchain technology and law, such as decentralized clearing and settlement, freezing of digital assets, and classification of such assets under international law. Prior to joining Blakemore Fallon, she consulted on GDPR, CCPA, and other data privacy issues at PwC. Jenny focuses on issues related to U.S. securities laws, state money transmitter laws and data privacy.
Troy A. Paredes  
Founder  
Paredes Strategies LLC

From 2008 until 2013, Paredes was a Commissioner of the U.S. Securities and Exchange Commission, having been appointed by President George W. Bush and confirmed by the Senate. At the SEC, Paredes was a strong advocate for small business, for facilitating capital formation, for solving the information overload problem of securities law, and for cost-benefit analysis. Since leaving government, Paredes has had an active consulting practice, advising a wide-range of entities, including banks, broker-dealers, investment advisors, hedge funds, private equity funds, mutual funds, and exchanges, as well as non-financial services firms. His consulting focuses on financial regulation, compliance, risk management, governance, and regulatory strategy and change management. Paredes also serves as an expert witness and advisor in regulatory enforcement investigations and in private litigation involving securities law and corporate law. And he brings his academic, government, and private sector experience to bear as an independent compliance consultant/corporate monitor.

Before becoming an SEC Commissioner, Paredes was a professor of law at Washington University in St. Louis and a professor of business (by courtesy) at Washington University’s Olin Business School. Currently, he is a Distinguished Scholar in Residence at NYU School of Law and a Lecturer on Law at Harvard Law School. Previously, he was the Distinguished Policy Fellow and Lecturer at the University of Pennsylvania Law School. Paredes is the author of numerous academic articles and is co-author (beginning with the 4th edition) of a multi-volume securities regulation treatise with Louis Loss and Joel Seligman.

Paredes holds a bachelor’s degree in economics from the University of California, Berkeley and earned his J.D. from Yale Law School.

---

Donna Parisi  
Global Head of Finance, Financial Services and FinTech  
Shearman & Sterling LLP

Donna Parisi is Shearman & Sterling’s Global Head of Finance, Financial Services and FinTech, where she advises clients on the full range of derivatives, structured products, securitization, capital markets, finance, digital assets and commodities matters. She also leads Shearman Women, the firm’s women’s initiative.

In particular, she helps clients develop and structure new financial products and has extensive experience in the negotiation and documentation of OTC derivative transactions across all asset classes, including digital assets. Ms. Parisi has broad and deep knowledge of financial institutions, regulatory requirements and the evolving business landscape.

Ms. Parisi also spearheads the firm’s FinTech Foundry program, which supports the FinTech-related activities of the firm’s clients and the wider global FinTech ecosystem including financial institutions, FinTech startups, accelerators and incubators, venture capital and private equity investors and policymakers.

---
Christine T. Parker  
Partner In-Residence  
Reed Smith LLP

Christine’s practice focuses on regulatory, transactional and enforcement matters related to commodities, derivatives and banking laws and regulations, including trading- and exchange-related matters and compliance and regulatory issues relating to Title VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act.

Before entering private practice, Christine was legislative counsel to U.S. Senator Charles E. Schumer, focusing on defense, energy and foreign policy issues.

---

Mark R. Patterson  
Professor of Law  
Fordham University School of Law

Mark R. Patterson is Professor of Law at Fordham University School of Law, where he teaches courses on antitrust law, patent law, and contracts. Professor Patterson has also taught seminars on Blockchain and Smart Contracts, Antitrust and Intellectual Property, and Programming for Lawyers. He writes regularly on topics involving technology and competition.

Professor Patterson received his B.S. and M.S. degrees from The Ohio State University, both in electrical engineering, and he performed engineering research, primarily in robotics, for ten years. Subsequently he obtained his J.D. degree from Stanford Law School and practiced law at Choate, Hall & Stewart in Boston, concentrating on antitrust litigation and patent prosecution. He then clerked for Justice John M. Greaney on the Supreme Judicial Court of Massachusetts and was a Bigelow Fellow at the University of Chicago Law School before joining Fordham. He has been a visiting fellow at the European University Institute, a visiting professor at Bocconi University in Milan, and a visiting scholar at the Italian Competition Authority.

Professor Patterson is registered to practice before the U.S. Patent and Trademark Office.

---

Noah Joshua Phillips  
Commissioner  
Federal Trade Commission


---
Georgia Quinn
General Counsel
CoinList

Georgia Quinn is the general counsel of CoinList, a platform that helps issuers conduct compliant ICO, token and digital asset offerings. She is also the co-founder of iDisclose, a legal technology company focused on the disclosure and legal document needs of small business and startup entrepreneurs. Ms. Quinn began her practice in capital markets at Weil, Gotshal and Manges and later moved to Seyfarth Shaw before founding iDisclose. Ms. Quinn received a Juris Doctor from Columbia Law School, and received a Bachelor of Fine Arts from New York University.

---

Kenneth M. Raisler
Of Counsel
Sullivan & Cromwell LLP

Kenneth Raisler is head of Sullivan & Cromwell’s Commodities, Futures and Derivatives Group. The Group is responsible for a full range of regulatory, transactional and litigation advice in the commodities, securities and banking areas to its brokerage, investment banking, banking and commercial clients.

Mr. Raisler was an assistant U.S. attorney for the District of Columbia from 1977 to 1982 in the Criminal and Civil Divisions. He then joined the Commodity Futures Trading Commission as deputy general counsel and was the general counsel of the Commission from 1983 to 1987. Mr. Raisler is the past chairman of the ABA Derivatives and Futures Law Committee from 2015-2017. Mr. Raisler was the chairman of the New York City Bar Committee on Futures Regulation from 1988 to 1991. He currently is vice chair of the ABA Derivatives and Futures Law Committee. He was a member of the Working Group of The Group of Thirty Derivatives Project. Since 1992, he has been a member of the board of directors of the Futures Industry Association. He also served as a member of the board of directors of the Managed Funds Association. Mr. Raisler is a member of the board of trustees of NYU School of Law and chair of the board’s Development Committee.

---

Donna Redel
Adjunct Professor of Law
Fordham University School of Law
Board Member, New York Angels

Donna Redel was the managing director of The World Economic Forum, the foremost global organization combining business, political, academic and other leaders of society committed to improving the state of the world. Ms. Redel was the first woman to chair a USA exchange, The Commodity Exchange. Following her work in global organizations, Ms. Redel began a second career as a New York City-based advisor and investor focusing on Fintech, Blockchain and emerging technologies. She is an active participant in the startup community with New York Angels, serving as a board member, the co-founder of the Blockchain Committee, co-chair of the Israeli Investment Committee and chair of the Education Committee.

Ms. Redel developed and is teaching a course on Blockchain-Crypto-Digital Assets at Fordham Law school and Fordham Gabelli Business. She will also teach a newly designed course on Smart Securities. The focus of her public service efforts are the
environment, the arts, health and promoting women’s leadership.

---

**Yorke E. Rhodes III**  
Co-Founder, Blockchain@Microsoft  
Principal Program Manager Blockchain Programming, Microsoft Azure

Yorke E. Rhodes III is Co-founder of Blockchain @Microsoft and Principal Program Manager Azure Blockchain Engineering. He is a passionate technologist with broad interests, always drawn to the next shiny object. He earned a BS in Computer Science from NYU’s Courant Institute of Mathematical Sciences. He has worked in industry for over 20 years, in enterprises such as Microsoft & IBM and startups in wireless, mobile, digital marketing & ecommerce. At Goldman Sachs Investment Bank he built their first wireless internet ingress and advised bankers in wireless, telecom and media. As a young developer he saw the beginnings of client server databases, obscure languages like ada, lisp & paradox and the birth of the internet. Blockchain piqued his interest in the summer of 2015 with the launch of Ethereum. An Adjunct Professor at NYU, he has taught Digital Marketing, Ecommerce and Intrapreneurship and is currently developing a course on the user centric economy called #OurNextEconomy.

---

**Sandra Rocks**  
Counsel  
Cleary Gottlieb Steen & Hamilton LLP

Ms. Rocks’ practice focuses on commercial financing, including secured transaction and bankruptcy law. Ms. Rocks joined the firm in 1980 and became counsel in 1990. She received a J.D. degree in 1979 from Columbia University, where she was a member of the Law Review. She received an undergraduate degree, summa cum laude, from Susquehanna University in 1975.

Ms. Rocks currently serves on the TriBar Committee on Legal Opinions and participated in the preparation of TriBar’s original and updated reports on security interest opinions under Article 9 of the Uniform Commercial Code (“UCC”). She is the ABA Advisor to the Drafting Committee for the Article 8 Companion Act to the Uniform Regulation of Virtual-Currency Businesses Act drafted by the National Conference of Commissioners on Uniform State Laws. Ms. Rocks previously served as Chair of the Task Force on Cleared Swaps of the ABA Business Law Section UCC Committee, as Co-chair of the Investment Securities Sub-Committee of the UCC Committee and as Chair of the International Commercial Law Subcommittee of the UCC Committee. From 2005 through 2008 she served as ABA Liaison-Advisor to the Permanent Editorial Board of the Uniform Commercial Code. Ms. Rocks also served on the Subcommittee on Investment Securities’ Task Force on Proposed Treasury Regulations Governing Book-Entry Securities. She served as a member of the Securities and Exchange Commission’s Market Transactions Advisory Committee, established to advise ways in which to reduce risk in the efficient clearance and settlement of market transactions, which issued its final report in 1997.

Ms. Rocks participated on behalf of EMTA (formerly the Emerging Markets Traders

Ms. Rocks is co-author of The ABC’s of the UCC, Article 8: Investment Securities, Second Edition. She is a frequent speaker on Articles 8 and 9 of the UCC and related international initiatives.

Ms. Rocks is a member of the American Law Institute and a member of the American College of Commercial Finance Lawyers. She is a member of the Bar in New York.

---

Andrew Siegel
General Counsel and Chief Compliance Officer
Galaxy Digital LP

Mr. Siegel is General Counsel and Chief Compliance Officer of Galaxy Digital LP, a diversified financial services firm dedicated to the digital currency and blockchain sectors.

Prior to Galaxy Digital, Mr. Siegel served in a variety of legal and compliance roles for Perella Weinberg Partners, a financial services firm providing advisory and asset management services to a global client base. Prior to joining Perella Weinberg, Mr. Siegel was General Counsel and Chief Compliance Officer of Stanfield Capital Partners LLC, an alternative asset manager based in New York. Prior to joining Stanfield, Mr. Siegel served in the law division of Morgan Stanley, initially advising the Mergers and Acquisitions Department. Ultimately, Mr. Siegel was the senior attorney responsible for advising Morgan Stanley Investment Management’s alternative investment funds, including its private equity funds, venture capital funds, hedge funds and fund of funds. Previously in his career, Mr. Siegel was a corporate attorney at Shearman & Sterling where he focused on public and private mergers and acquisitions, private equity transactions and joint ventures.

Mr. Siegel is admitted to the Bar of the State of New York and has been designated a Certified Regulatory and Compliance Professional by FINRA.

Mr. Siegel received a Bachelor of Arts in Political Science, magna cum laude, from Tufts University and a Juris Doctor, summa cum laude, from Washington College of Law at American University where he was an editor of The American University Law Review.

---

Rebecca Simmons
Partner and Co-Head of FinTech Practice
Sullivan & Cromwell LLP

Rebecca Simmons is a partner in the Firm’s Financial Services and Capital Markets Groups, head of its payments practice and co-head of its FinTech practice. She represents clients in the structuring and development of payments, settlement, clearing and other financial technology businesses and systems; in the structuring and development of financial products, novel securities and structured transactions; in insolvency related matters and resolution planning; and in regulated transactions such as the development of new lines of business and corporate acquisitions. Her practice areas include U.S. banking and commodities laws and regulation, payments, technology and outsourcing matters, bankruptcy and insolvency
issues relating to complex transactions, corporate restructuring, derivatives structuring and regulation, U.S. securities laws and capital markets transactions.

Ms. Simmons has represented financial institutions in developing and operating payments, clearing and settlement, trading and related systems, including the establishment of CLS Bank, the foreign exchange settlement system; the development of several synthetic-AAA derivative products companies and services, including the first such service conducted within an insured depository institution; the development of rules for derivatives clearing organizations to accommodate cleared swap transactions; and in the development of payment systems utilizing distributed ledger and related technologies. She represented a consortium of banks in the development of Identrus, an early identity certification service, and continues to be involved in issues relating to identity and authentication in web commerce.

Ms. Simmons leads S&C’s resolution planning practice and payments practice. Her insolvency-related practice addresses insolvency and bankruptcy matters inherent in risk management by payment systems and other financial market utilities; structuring transactions and business relationships, the restructuring and resolution of failed financial institutions, and resolution planning in response to U.S. and other regulatory requirements. She also continues to represent a range of clients in derivatives, structured products and other transactions, financial institutions in capital markets and related transactions, and non-U.S. banks with respect to the financing and operation of their U.S. operations.

Ms. Simmons is the former chair of the Business Law Section of the New York State Bar Association and the former chair of the Committee on Futures Regulation of the New York State Bar Association. She has served as a member of the Committee on Futures Regulation and the Committee on Banking Law of the New York City Bar Association. In addition, she is a member of the board of the advisory board of Legal Information for Families Today; the board of the New York chapter of the Swiss-American Chamber of Commerce; Columbia Law School’s board of visitors; and the President’s Advisory Council of the Chesapeake Bay Foundation.

---

Amy M. Starr
Chief Advisor for FinTech Capital Markets in the Division of Corporation Finance and a member of FinHub
Securities and Exchange Commission

Amy M. Starr is currently Chief Advisor for FinTech Capital Markets in the Division of Corporation Finance and a member of the Securities and Exchange Commission’s FinHub (Strategic Hub for Innovation and Financial Technology). Previously, Ms. Starr was Chief of the Division’s Office of Capital Markets Trends, which was responsible for evaluating trends in securities offerings and the capital markets more generally, involving both registered and unregistered transactions and evaluating novel and new securities offerings. In her new role, Ms. Starr will continue to be involved in FinTech issues, including digital asset and ICO issues, for the Division and Commission. Over her 27 years at the SEC, Ms. Starr has held many positions in the Division of Corporation Finance. She served as a Senior Special Counsel to the Director of the Division, in the Division’s Office of Chief Counsel, and as a Special Counsel in the Division’s Disclosure Operations. Ms. Starr has been involved in many Commission rulemakings and disclosure initiatives, including Securities Offering Reform, Regulation FD, Municipal Securities Rulemakings and the Commission’s Municipal Securities Market Report, and rulemakings under Title VII of the Dodd-Frank Act regarding swaps and security-based swaps. Prior to joining the Commission in 1992, Ms. Starr practiced corporate and securities law for 11 years in New York City.
Elizabeth M. Tanner, Esq.
Director
Department of Business Regulation at State of Rhode Island

Elizabeth M. Tanner, Esq. is a graduate of the University of Rhode Island with a B.A. in Political Science and received her Juris Doctor from Western New England University. Her early career focused in the areas of title insurance and real estate law as well as corporate transactional work, focusing on small businesses. While at RI Commerce, Liz oversaw business retention for all in-state businesses and has led an effort to streamline and simplify businesses’ interactions with government.

Liz was appointed the Director of Department of Business Regulation in November 2017 where she is continuing her efforts to improve the business climate in Rhode Island.

A native of Pennsylvania, she lives in Bristol with her family.

---

Joel S. Telpner
Senior Partner
Sullivan & Worcester LLP

Joel is a highly sought-after legal advisor in the blockchain space, actively working with a large number of clients in structuring token distributions and enterprise blockchain applications. Joel brings more than 30 years of legal experience in a career that includes time as an AmLaw 100 partner, the former U.S. general counsel of a global financial institution and a venture capitalist.

Joel is leading policy initiatives on global regulatory issues for blockchain on behalf of the Global Blockchain Business Council and the Blockchain Research Institute. He is also a member of the Wall Street Blockchain Alliance’s Legal Working Group, which is developing guidance on best practices for ICOs. He is recognized for his ability to deftly manage complex financial transactions, especially those involving sophisticated structured finance and derivatives matters, and has an extensive and unique combination of transactional and regulatory experience. Joel gave the key note speech "ICOs – Best Practices" at the World Economic Forum’s Blockchain Central in Davos, Switzerland in January 2018.

He represents a diverse client base, including financial institutions, top 10 cryptocurrencies, governments, dealers, corporations, investment managers, mutual funds, hedge funds and pension plans.

---

Andrea Tinianow
Founder
Tinianow Consulting

Andrea Tinianow has been dubbed the Blockchain Czarina for her role in bringing blockchain to Delaware and securing the First State’s imprimatur on the nascent technology during her tenure as Director of Global Delaware.

Andrea harnessed her passion for making connections to create Connector Street, a free networking app that allows users to make and receive introductions quickly, easily and securely.
Andrea is the founder of Tinianow Consulting LLC, a globally-recognized boutique consultancy. Andrea works with clientele to reimagine and elevate their organizations, identifying new revenue streams and creating strategies that leverage disruptive and cutting-edge technologies, such as blockchain.

Andrea is on the Advisory Board of the Wall Street Blockchain Alliance and the founding chairperson of its Enterprise Solutions Working Group. She is a frequent contributor to Forbes.com. MarketsMedia awarded Andrea its “Women in Finance Award” for Excellence in Blockchain.

In 2014, the Markell Administration recruited Andrea to launch the Division of Corporate and International Development for the State of Delaware. The following year, she founded the Delaware Blockchain Initiative (DBI), the first of its kind blockchain endeavor designed to deploy blockchain technology in government and enact blockchain-friendly legislation. The DBI gave rise to the “Blockchain Amendments” to Delaware’s General Corporation Law which authorize corporations to maintain their corporate records, including stock ledgers, on a blockchain.

Andrea received her undergraduate degree from Brown University. She attended Delaware Law School, graduating magna cum laude, after a successful career in marketing. Following her clerkship for (former) Chancellor William Chandler III, of the Court of Chancery, Andrea joined the Wilmington office of Pepper Hamilton and represented clients in litigation. A few years later, global corporate services company CSC invited Andrea to join as Assistant General Counsel. Andrea was subsequently promoted to the newly-created position of Vice President Business Development, where she specialized in creating new products and services.

Follow @AndreaTinianow

---

William Turner
Partner
Steptoe & Johnson LLP

Will Turner is a partner of Steptoe & Johnson LLP, an international law firm. He has more than two decades of experience in corporate and securities law, primarily with application to cryptocurrency, fund formation, investment transactions, mergers and acquisitions and joint ventures. Will is well-versed in securities offerings, ’40 Act work, and corporate governance matters. He also advises clients on technology and financial services commercial agreements. As a member of Steptoe’s Blockchain and Cryptocurrency practice, he advises cryptocurrency exchanges, token issuers, market intermediaries and investors. He counsels on securities law issues across the cryptocurrency industry as well as legal issues arising from novel uses of blockchain technology. Will is a regular contributor to the Steptoe Blockchain Blog (https://www.steptoeblockchainblog.com/) as well as the Cyberlaw Podcast (https://www.steptoe.com/feed-Cyberlaw.rss) hosted by Steptoe & Johnson LLP.

Will received his bachelor of arts with honors from the University of Chicago and his juris doctorate, cum laude, from Northwestern University School of Law.

---

George Weiksner
Founder & Chairman
Pocketful of Quarters, Inc.
George Weiksner, Founder & Chairman of Pocketful of Quarters, Inc. 8th Grade student, Greenwich Country Day School. He is a regular speaker about cryptocurrencies and games, he has appeared in various publications, including *Marketwatch, BusinessInsider, Sunrise TV*, and *The New York Post*. He streams games on YouTube and Twitch as ThisKidCEO.

---

Mike Weiksner, Ph.D.
Founder & CEO
Pocketful of Quarters, Inc.

Mike Weiksner, Ph.D. Founder & CEO. Previously, a tech investor (Rostrum Capital and Flatfooted, LLC) and a tech entrepreneur (EndlessTV and E-thePeople.org). Ph.D. in Communications from Stanford University and an A.B. in Computer Science from Princeton University. Follow @weiks on Twitter.

---

Kevin Werbach
Professor of Legal Studies and Business Ethics
Wharton School, University of Pennsylvania

Kevin Werbach is professor of Legal Studies and Business Ethics at the Wharton School, University of Pennsylvania. A world-renowned expert on emerging technology, he examines business and policy implications of developments such as broadband, big data, gamification, and blockchain.

Werbach served on the Obama Administration’s Presidential Transition Team, founded the Supernova Group (a technology conference and consulting firm), helped develop the U.S. approach to internet policy during the Clinton Administration, and created one of the most successful massive open online courses, with nearly 500,000 enrollments. His books include *For the Win: How Game Thinking Can Revolutionize Your Business* and *The Blockchain and the New Architecture of Trust*.

Follow him on Twitter @kwerb.

---

Stephen Wink
Partner
Latham & Watkins LLP

Stephen Wink is a partner in the New York office of Latham & Watkins where his practice focuses on advising a wide range of market players, including investment banks, hedge funds, private equity firms, proprietary trading firms, FinTech companies, and other financial institutions. Mr. Wink has in-depth knowledge and broad experience advising financial institutions on regulatory and related matters, gained in part from a decade as general counsel of a full-service investment bank. Mr. Wink is a key member of Latham’s FinTech Industry Group and is Co-Chair of the firm’s Global Blockchain and Cryptocurrency Task Force. He advises financial institutions, corporate clients, and emerging companies on financial regulatory considerations inherent in their proprietary FinTech transactions. Mr. Wink serves a diverse mix of clients, including broker-dealers, robo-advisors, crowdfunding platforms, cryptocurrency platforms, marketplace lenders, and payments providers, among others. He is also a contributor to the firm’s blockchain regulatory efforts and frequently publishes thought leadership and provides trainings on the topic.

---
Aaron Wright  
Associate Clinical Professor of Law  
Cardozo Law School

Aaron Wright is an Associate Clinical Professor of Law at Cardozo Law School, where he directs the Cardozo Blockchain Project and other technology programs. Professor Wright's work focuses on smart contracts and the regulation of blockchain-based systems. His first book, Blockchain and the Law: The Rule of Code (Harvard University Press, with Primavera De Filippi) was published in 2018.

Professor Wright is also the co-founder of OpenLaw (https://www.openlaw.io), a blockchain-based legal protocol, and serves as chair of the Enterprise Ethereum Alliance, Legal Advisory Working Group. Prior to joining Cardozo’s faculty, he co-founded and sold a company to Wikia, Inc., the for-profit sister project to Wikipedia.

---

Gregory E. Xethalis  
Partner  
Chapman and Cutler LLP

Greg Xethalis is a partner in Chapman's Corporate and Securities Department and a member of the firm's Investment Management Group. He represents clients in financial services and emerging technology matters.

Greg counsels clients in the investment management, corporate, and digital asset/blockchain ecosystems. His representation of clients in the digital asset space has included registered and private fund sponsors, operators of digital asset trading, lending and custody platforms, bitcoin mining companies, clients developing tokenized platforms, and entrepreneurs and institutional clients experimenting with blockchain/DLT projects. He also counsels clients in developing ventures and building consortia to leverage blockchain technology in existing and new frameworks. Greg is a frequent speaker on developing regulatory issues relating to bitcoin, ethereum, and the adoption of distributed ledger technology.

Greg also advises investment advisers and boards of exchange-traded fund (ETF) and mutual fund platforms in matters including fund formation, registration of shares with the Securities and Exchange Commission (SEC), and ongoing compliance matters. He represents sponsors of exchange-traded commodities (ETC) and advisers to private funds.
GUEST WI-FI ACCESS

1. Go to Settings>Wi-Fi and select Connect-Fordham-WiFi.

2. Open your web browser and navigate to a frequently refreshed URL, such as CNN.com. You will automatically be directed to the Fordham network welcome page. Select Join as a Guest. (Note: For Apple iOS devices, use only the Safari browser for this process.)

3. Agree to the acceptable use policy. You will be directed to a new website. Click Join Now.

4. Follow the prompts to complete the process, which includes downloading/installing a small configuration file that allows you to connect to our public Wi-Fi network. You may need to enter the password for the device/computer to complete the process.

5. You’ll receive a message confirming that you’ve joined the network. Click Done.

Guest Wi-Fi is available throughout Fordham University’s Lincoln Center campus. While guest access does not expire, on subsequent use with your device/computer, you may be prompted to agree to the acceptable use policy.

For more detailed, device- or computer-specific instructions, visit www.fordham.edu/wireless, pick up a more detailed instruction sheet at the information desk, or call the Fordham Law Helpdesk at 212-636-6786.

CLE CREDIT

Continuing legal education credits have been approved in accordance with the requirements of the New York State CLE Board for a maximum of 6.5 transitional and nontransitional professional practice credits.

CLE course materials available at: law.fordham.edu/clematerials
Innovation Sponsors

Craavath

Fidelity

WLRK

Digital Assets

General Sponsors

Chapman and Cutler LLP

Attorneys at Law · Focused on Finance*

CLEARY GOTTLIEB

LATHAM & WATKINS LLP

Linklaters

Shearman

Shearman & Sterling

Skadden

Skadden, Arps, Slate, Meagher & Flom LLP & Affiliates

With Support From

CLIP

Center on Law and Information Policy

At Fordham Law School

CORPORATE LAW CENTER

FBLS

Fordham Blockchain Law Society

WSBA

WALL STREET Blockchain Alliance