Spotlight on ESG
How ESG is Transforming the Compliance Risk Landscape and What Compliance Professionals Need to Know to Adapt

February 2, 2021
11 a.m. – 1 p.m. EDT
Zoom Webinar

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Robert Mascola, Senior Director Compliance Programs and Adjunct Professor, Fordham Law School

Robert Mascola, JD, is the Senior Director of Compliance Programs at Fordham Law School, where he is responsible for all of the law school’s compliance education, including overseeing the compliance curriculum for J.D., LL.M., and Master of Studies in Law (M.S.L.) degrees.

Prior to joining Fordham Law, Mascola was the Deputy Chief Compliance Officer at Barrick Gold Corporation, an international mining corporation with operations and projects across the globe, and was Director of Ethics and Compliance at Philip Morris International. Mascola was also previously in private practice at international law firm Arnold & Porter LLP. He is currently on the Board of the Ethics and Compliance Association.

Mascola earned his J.D. from Harvard Law School and M.A. in International Affairs from The George Washington University. He also holds an A.B. from Harvard College.

Patricia J. Harned, CEO, Ethics & Compliance Initiative

Patricia Harned is chief executive officer of the Ethics & Compliance Initiative (ECI), America’s oldest nonprofit in the ethics & compliance industry. ECI is a research and membership organization comprised by institutions across every sector, each dedicated to promoting the highest levels of integrity in their operations.

For sixteen years as CEO, Dr. Harned has overseen all of ECI’s strategy and operations. In that time ECI has become the leading provider of independent research about workplace integrity, organizational culture and effective compliance programs. Dr. Harned is an expert on culture change, ethical leadership, and workplace reporting retalation. She leads ECI’s consulting practice: clients have included 195+ prominent organizations around the world.

Dr. Harned has been invited to provide ethics training to a number of boards of directors, and she has participated as a member of monitoring teams for organizations in settlement agreements with federal enforcement agencies.

Dr. Harned also directs outreach efforts to policymakers and federal enforcement agencies in Washington, DC. She has provided ethics & compliance training to officials in the US Department of Justice, testified before Congress and the U.S. Sentencing Commission, and she has personally briefed U.S. Secretary of Defense Ash Carter, the OSHA Whistleblower Protection Advisory Committee, and the Federal Bar Council on strategies to increase effective reporting of suspected misconduct.

Dr. Harned was selected by Ethisphere Magazine as one of the 100 Most Influential People in Business Ethics in 2007, 2014 and 2015, and was named one of the Top Thought Leaders in Trust in 2010, 2011, 2018 and 2020 by the nonprofit organization Trust Across America. She was a member of the PCAOB Standing Advisory...
Group, and she currently serves on the boards of the U.S. Center for SafeSport and the International Association of Independent Corporate Monitors.

Dr. Harned holds a bachelor of science degree from Elizabethtown College in Pennsylvania, a masters of education degree from Indiana University, and a doctorate from the University of Pittsburgh.

**Christina Alfonso, ESG Senior Advisor, Cimbria Capital**

Christina Alfonso-Ercan is an investment professional with over 18 years of experience in private wealth and alternative fund management across North America, Europe and Latin America. For the last decade, she has advised top global fund managers, family offices and Fortune 100 companies on sustainable strategies and ESG integration. Christina served as co-founder and CEO of Madeira Global, an ESG advisory and reporting firm, from 2012 until its successful sale to Cimbria Capital in 2020. Through Madeira Global she advanced the use of data-driven approaches to build high-performance ESG portfolios across all asset classes.

Christina is dedicated to elevating the mission of the financial markets to include impact-conscious practices. Her leadership role in the field of ESG analytics and socially responsible investing has been highlighted in financial publications including Institutional Investor, Barron’s, The Wall Street Journal, Yahoo Finance, Worth and Leaders Magazine. In 2020, her contribution entitled, “Private Equity and ESG Investing,” in, “Values at Work: Sustainable Investing & ESG Reporting,” was published by Palgrave MacMillan. She has also been a featured speaker at the Concordia Summit, the Worth Wealth Summit and academic conferences hosted by the Harvard Kennedy School, Columbia, Cornell, NYU, Georgetown and Wharton.

In 2016, Christina was recognized as a “Game Changer” by the U.S. Green Chamber of Commerce. In 2015, she was awarded “Women of Influence” by the New York Business Journal and received City & State Reports’ “Pioneer Award for Distinguished Achievement in Impact Investing.” In 2014, Christina was initiated into the Milken Institute Young Leaders Circle and was named a “Rising Talent” by the Women’s Forum for the Economy and Society. She was inducted into the international honors society of economics, Omicron Delta Epsilon, in 2004.

Prior to Madeira Global, Christina served as a director at WWI Group, a São Paulo-based private equity firm, where she formed part of the founding team that launched the firm’s first renewable energy fund. Prior to pursuing her M.B.A, she served as a member of the private client services group at AllianceBernstein in New York, supporting a $2+ billion client base and leading a team of private client associates in multi-generational wealth planning and portfolio management services.

Christina currently serves as an Advisory Board member of PathNorth, in Washington, D.C., and as ESG Senior Advisor to Cimbria Capital. She holds a B.S. in Finance and Economics from Fordham University, where she graduated Cum Laude, and an M.B.A. from ESADE Business School, Barcelona, Spain.
David Curran, Chief Sustainability and ESG Officer, Paul Weiss

Dave Curran is Chief Sustainability and Environmental, Social and Governance (ESG) Officer at Paul, Weiss. In this role, Dave has dual responsibilities - to work with the firm’s lawyers to lead its Sustainability and ESG Advisory Practice Group, and also to develop and promote the firm's internal ESG practices.

Dave is a recognized leader in helping complex organizations build resilience. In addition to his work in the ESG space, he has more than 30 years of experience in legal, technology, compliance, risk and ethics roles. Dave has led many popular Thought Leadership conversations with senior executives on a variety of topics where business and technology intersect with the legal, compliance and risk ecosystems, including Transforming Law, Big Data, #MeToo and many others.

Dave began his career as a media trial and appellate lawyer before moving to senior in-house legal, compliance and regulatory affairs positions. He was previously Senior Vice President and Chief Business Officer at FiscalNote, a legal AI/technology company, and held senior business and legal leadership roles with Thomson Reuters, IntraLinks, Integrity Interactive, Havas, Vertis and Campbell Soup Company.

William Jannace, Adjunct Professor, Fordham Law

William Jannace has worked nearly 30 years in the securities industry at the American and New York Stock Exchanges, FINRA and several investment banking firms. He is currently an advisor to the U.S. Treasury’s Office of Technical Assistance focusing on emerging market capital market development. He also serves as an expert witness for The Bates Group on securities litigation matters. He is also an adjunct professor/lecturer at Fordham School of Law, the U.S. Army War College, the Global Financial Markets Institute, Baruch University, and Metropolitan College, where he teaches courses covering:
• Capital Markets, Corporate Governance/ Shareholder Activism/Investor Stewardship,
• Corporate Social Responsibility/Environmental, Social, Governance and Impact Investing/Climate Change and Sustainability,
• State Capitalism/Sovereign Wealth Funds, AML/Economic Sanctions, Geopolitics/Geo-Economics, Statecraft,
• U.S. Foreign Policy, International Affairs, NATO and Grand Strategy.

He has also taught courses at New York Law School, Wharton Business School, Drexel University, Georgetown Global Education Institute, New York University, Pace University, Securities Training Corporation, New York Institute of Finance, New York Society of Security Analysts, and the Securities Industry Institute/Wharton Business School on the above topics.
He was an account executive at Georgeson and D.F. King serving as a liaison for corporations/institutional shareholders regarding corporate governance issues; assisted on proxy fights/tender offers for corporate raiders/listed companies; liaison with the NYSE trading floor and arbitrageurs to provide market commentary to listed companies; monitored trading versus 13F filings to determine changes in shareholder ownership. He was also Series 7/63 licensed at Drexel Burnham/Paine Webber and was also a consultant for The World Bank and The Asian Corporate Governance Association.

Mr. Jannace has also conducted overseas training programs for the: Russian Securities Commission/Stock Exchange; The Capital Markets Authorities in: Uganda, Burundi, Tanzania and Kenya; Saudi Arabian Capital Markets Authority; Securities and Exchange Board of India; Ukrainian Securities Commission/Stock Market; Romanian Securities Commission; Jordanian Securities Commission; Capital Markets Authority of Turkey; Albanian Financial Supervisory Authority;

New York Institute of Finance- Beijing/China, the Taiwan Stock Exchange and for IOSCO in Spain.

William Jannace is a CIArb Fellow, a FINRA Non-Public arbitrator, a member of the New York International Arbitration Center, a judge for the FINRA Annual Securities Dispute Resolution Triathlon, an arbitrator for the Willem C. Vis Moot Court at Fordham Law School and in Vienna, Austria and a member of The International Institute for Strategic Studies. He also attended Mediation Training at the NYCBA and received a Certificate in International Commercial Arbitration from Columbia Law School/Chartered Institute of Arbitrators. He is also a research affiliate with the Fletcher Network for Sovereign Wealth and Global Capital. Mr. Jannace received his JD from New York Law School, his LL.M. in Corporate, Banking, and Finance Law from Fordham Law School, is a member of the State Bars of New York and Connecticut; received a Certificate in Global Affairs at New York University and Environmental, Social and Governance investment training from the International Corporate Governance Network.

He has also volunteered for the Interfaith Center on Corporate Responsibility, the Asset Owners Disclosure Project, UNICEF and served as a judge for the SIFMA Foundation InvestWrite Competition. Mr. Jannace is a member of the Bretton Woods Committee and the NGO Committee To Stop Trafficking in Persons. He is also active in raising funds and awareness for humanitarian assistance
Barbara Porco, Bene Merenti Professor, Gabelli School of Business

Dr. Porco is a member of the accounting faculty for over thirty years and holds Fordham University’s prestigious Bene Merenti Medal. During this time, she has been the recipient of several university awards including most recently, the 2019 Faculty Magis Award, the 2018 Dean’s Net Impact Award, and the 2017 Gabelli Teaching Innovation Award. She recently served as one of the founding member of the President’s assembled council entitled Fordham’s Continuous University Strategic Planning (CUSP) Committee as well as a former member of the university’s Faculty Senate. At the request of the Board of Trustees, she served in the provost office as the Director of Financial and Budgetary Development for a two-year appointment. Additionally, Dr. Porco has been ranked nationally in the Top 50 Researchers in Accounting Education by the American Accounting Association.

Dr. Porco is a licensed Certified Public Accountant, Certified Fraud Examiner, and is certified in financial forensics. Her past positions include director of personal financial planning, audit supervisor, and senior tax manager in the financial service group at PricewaterhouseCoopers. She is an educator and consultant to an array of domestic and international banks, financial services organizations, hospitals, Big Four accounting firms, and regulatory agencies, including FINRA and the U.S. Securities and Exchange Commission. For more than a decade, she holds the title of master professor and presently oversees the development and training of instructors and program delivery for the National Audit Intern Program of one of the world’s largest accounting firms. Dr. Porco holds a certification in corporate sustainability and innovation and a master’s degree in sustainability and environmental management from Harvard University. She proudly serves on the AICPA’s Sustainability Assurance and Advisory Task Force as an academic representative for extended external reporting (EER) assurance. She also serves as the Chair of the Fordham University and Sustainability Accounting Standards Board (SASB) Governance Collaboration Committee for the university in accordance with MOU established between the Gabelli School of Business and the SASB.

Leveraging her doctoral and post-doctoral studies, Dr. Porco’s research and teaching focuses on ethics, accounting education, and sustainability reporting. She is the author of KPMG Ethical Compass: Integrity in Business, a seven-series package of interactive instructional material that enables college professors to present ethics-related topics in their classrooms. The Ethical Compass has won multiple awards and has been used at more than 150 universities across the country. It is available on KPMG’s University Connection. Dr. Porco also participated in a faculty rotation in the forensic practice for KPMG and co-authored a chapter for KPMG’s Managing the Risk of Fraud and Misconduct: Meeting the Challenges of a Global, Regulated and Digital Environment, published by McGraw Hill. Her most recent publication, Sustainability Accounting Toolkit, is a series of three lectures to be adapted by faculty who wish to include material pertaining to sustainability accounting, integrated reporting, and/or triple bottom line concepts in their financial accounting courses.

Beyond being the multiple recipient of Fordham University’s Teacher of the Year Award, Dr.
Porco has also been recognized nationally. Her academic and professional awards include the 2019 National Innovation in Auditing & Assurance Education Award, the 2017 National Teaching Innovation Award from the American Accounting Association, NACE Excellence in Teaching Award for KPMG’s Ethical Compass, and National Outstanding Faculty Award by Beta Alpha Psi. She is also the former President of the Northeast Region of American Accounting Association, and member of AICPA, American Accounting Association, Beta Alpha Psi, and Beta Gamma Sigma.

Jeffrey Hales, Chair SASB and Charles T. Zlatkovich Centennial Professor of Accounting, Univ. of Texas at Austin

Jeffrey Hales teaches at the University of Texas at Austin, where he is the Charles T. Zlatkovich Centennial Professor of Accounting. He is a graduate of the accounting program at Brigham Young University and received his Ph.D. from Cornell University. His research interests center on accounting standard setting and regulation, individual decision making, and behavioral finance, using techniques from applied game theory, experimental economics, and psychology. His research has appeared in The Accounting Review, Journal of Accounting Research, Journal of Accounting and Economics, Review of Accounting Studies, and the Journal of Financial Economics, among other journals. He has previously been an editor for Contemporary Accounting Research and Accounting Horizons and has served on the editorial boards of several journals. Since 2018, he has served as the Chair of the 9-person Standards Board at Sustainability Accounting Standards Board (SASB). In his role as Chair, he oversees the agenda setting and due process associated with the SASB standards development and maintenance. Before serving on the Standards Board, he chaired the Standards Council for the SASB. During 2009-2010, Dr. Hales was a Research Fellow at the Financial Accounting Standards Board (FASB) in Norwalk, CT. In addition, he served as a member of the FASB’s Financial Accounting Standards Advisory Council from 2016-2019. In the United Kingdom, he served on the Financial Reporting Council’s Future of Corporate Reporting Advisory Group from 2018-2020, and he has been a m