Program on Corporate Ethics and Compliance Fordham Law presents

Summer Compliance Institute Day 2

June 12, 2021
9 a.m. – 1 p.m. EDT
Zoom Webinar

CLE COURSE MATERIALS
Summer Compliance Institute
Speaker Bio for Session 2 (June 12, 2021)

Michael Blackshear

Michael serves as senior vice president and global chief compliance officer for Ryan Specialty Group (RSG), with responsibilities for maintaining and growing an effective compliance and regulatory framework. RSG is an international specialty insurance organization that provides solutions to brokers, agents, and insurance carriers, with operations in North America, the United Kingdom, and Europe. He has over 27 years of financial service and executive experience in the areas of compliance and risk management.

Prior to joining RSG, Michael was the North America chief compliance officer for Chubb Insurance Group, developing and maintaining their North American (NA) compliance program. Michael was primarily responsible for the NA compliance framework integration and transformation during the ACE-Chubb merger. Before his time with Chubb, he held various leadership roles with Marsh & McLennan Companies, focusing on compliance and government affairs. Before joining Marsh, he held compliance and risk-management-oriented advisory roles for both KPMG and PricewaterhouseCoopers. He began his insurance career as an underwriter for Continental Insurance Company and then as a casualty broker with Alexander & Alexander Insurance Brokerage Firm.

Michael was recently named in Insurance Business America’s Hot 100 insurance practitioners for 2020. This listing consists of the top 100 U.S. insurance professionals that shaped, transformed, and pushed the insurance industry forward with their dedication, mentorship, and vision for the past 12 months. Michael also has been honored by both Syracuse University—receiving the Chancellor’s Citation, the university’s most exclusive honor, in recognition of his significant career and civic achievements—and by Fordham’s Black Law Student Association—receiving the annual Ruth Whitehead Whaley Award for being a prominent alumnus in the field of compliance. He is an adjunct professor at Fordham Law School, and is a member of the Advisory Board to Compliance Programs at Fordham Law.

Michael obtained his Juris Doctor from Fordham Law School; his MBA from St. John’s University School of Risk Management, Insurance, and Actuarial Science; and his BS in Finance from Syracuse University. He also received a certification in “Managing Ethics in Organizations” from Bentley College.
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Resource Materials for Session 2 (June 12, 2021)

Sarbanes-Oxley Act

- Sarbanes-Oxley Act of 2002, Section 404
- Guide to Sarbanes-Oxley Act: Internal Control Reporting Requirements

U.S. Sentencing Commission

- United States Sentencing Commission, The Organizational Guidelines

U.S. Department of Justice

- DOJ, Criminal Division, Evaluation of Corporate Compliance Programs (2020)
- DOJ, Antitrust Division, Evaluation of Corporate Compliance Programs in Criminal Antitrust Investigations (2019)

U.S. Department of Treasury

- Office of Foreign Assets Control, A Framework for OFAC Compliance Commitments

International

- OECD, Good Practice Guidance on Internal Controls, Ethics, and Compliance (2010)

Internal Controls Framework

- Compliance Risk Management: Applying the COSO ERM Framework (2020)

Three Lines of Defense Model

- Deloitte, Modernizing the three lines of defense model: An internal audit perspective (2020)