

CONSTANTINE N. KATSORIS

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(212) 636-6830

Married
Military Status:
Honorable Discharge

I. EDUCATION

A. LEGAL

LL.M - NYU GRADUATE LAW SCHOOL (Corporate Finance) 1958-1963

J.D. - FORDHAM LAW SCHOOL (Cum Laude) 1953-1957

- **Class Standing: First in Graduating Class**
- **Member of Law Review**
- **CHAPIN PRIZE – received at graduation for attaining highest weighted average throughout law school**

B. COLLEGE - B.S. - FORDHAM UNIVERSITY 1949-1953

Major: Accounting

II. EMPLOYMENT AND EXPERIENCE

A. FORDHAM LAW SCHOOL - September 1964 to Present

- **Wilkinson Professor - Appointed in 1991**
- **Professor - 1969 to Present**
- **Associate Professor - 1966 to 1969**
- **Assistant Professor - 1964 to 1966**

Subjects Teaching or Taught: Federal Personal Income Taxation, Federal Corporate Taxation, Federal Estate and Gift Taxation, Estate Planning, Accounting for Lawyers, and Decedents' Estates.

Recipient of Fordham University:

- **Bene Merenti Medals (1985) and (2004)**
- **Students' Medal of Achievement (1992)**
- **Public Interest Center's Award (1993)**
- **Alumni Medal of Achievement (1995)**
- **Dean's Medal of Achievement (1999)**
- **Urban Law Journal's Louis J. Lefkowitz Award (2003)**
- **George J. Mitchell Lifetime Public Service Award (2014)**
- **Governor Malcom Wilson Distinguished Alumni Award (2015)**
- **Fordham Law Review Leonard F. Manning Achievement Award (2017)**

B. SECURITIES INDUSTRY CONFERENCE ON ARBITRATION (“SICA”)

Public Member - 1977 to 1997. Reappointed as Public Member in 2003, and appointed Chairman of SICA meetings. SICA prepared, monitors and reports to the Securities and Exchange Commission regarding the Uniform Code of Arbitration applicable to the arbitration and/or mediation of disputes between the public and the securities industry. Currently serves as Active Emeritus Public Member of SICA.

- C. ARBITRATION PANELIST**
 - (i) New York Stock Exchange - 1971 to Present.
 - (ii) National Association of Securities Dealers (“NASD/FINRA”) - 1968 to Present.
 - (iii) Compulsory Arbitration Service - First Judicial Department - 1972 to Present.
 - (iv) Private Judge at Duke Law School’s Private Adjudication Center (since 1989).
 - (v) American Arbitration Association (since 1992).
 - (vi) Arbitrator, appointed by Claims Administrator, Irving M. Pollack in Prudential Global Settlement with S.E.C. - 1994.
 - (vii) Arbitrator in major international arbitration pursuant to UNCITRAL Rules - 1994 to 1995
- D. MEDIATOR at NASD/FINRA, NYSE and by private agreement since 1997.**
- E. CPR INSTITUTE FOR DISPUTE RESOLUTION, PUBLIC MEMBER - 2004.**
- F. SPECIAL MEDIATOR/ARBITRATOR for Resolution of Securities Claims (Gruntal Bankruptcy) SDNY, Chap. 11, Case Nos. 02-15360-1 Appointed in 2003**
- G. SECURITIES ARBITRATION COMMENTATOR- Board of Advisors-1997- Present.**
- H. NASD NATIONAL ARBITRATION COMMITTEE- Public Member - 1974 to 1981**
- I. INSTRUCTOR, Arbitrator and Chair Training Programs - (NYSE and NASD) - 1994-6.**
- J. RECEIVER (NYC Co-op building), S.D.N.Y. - appointed in 1995 by The Honorable John F. Keenan.**
- K. N.Y. TEMPORARY COMMISSION ON ESTATES - Consultant 1964-67.**
- L. CAHILL, GORDON, REINDEL & OHL - September 1958 to August 1964
Litigation and Corporate Experience.**
- M. FEDERAL COURT APPOINTMENTS-DEFENSE OF INDIGENT DEFENDANTS**
 - (i) United States Court of Appeals for the Second Circuit - *U.S. v. Bentvena et al.*, 319 F.2d 916 (1963); certiorari denied by United States Supreme Court 375 U.S. 940 (1963).
 - (ii) United States District Court for the Southern District of New York appointed by Hon. Lloyd F. McMahon *U.S. v. Bentvena. et al.*, 60 Cr. 436, March-July 1962 (Criminal Mass Conspiracy Jury Trial).
- N. LEGAL AID SOCIETY - N.Y.C. - CRIMINAL TRIAL WORK Dec. 1960 - Jan. 1961.**

III. COURTS ADMITTED TO

**All courts in New York State-1957 U.S. Tax Court 1959
 U.S. District Court, S.D.N.Y. 1959 U.S. Court of Appeals, 2nd Cir. 1959
 U.S. District Court, S.D.N.Y. 1959 U.S. Supreme Court 1961**

IV. PROFESSIONAL MEMBERSHIPS, ASSOCIATIONS, AND COMMITTEES

- A. AMERICAN BAR ASSOCIATION (Over forty years to present)**
 - (i) Federal Estate and Gift Tax Committee - 1966 to Present**
 - (ii) Continuing Legal (TAX) Education and Research Committee of the AMERICAN Bar Association - 1966 to 1968**
- B. NEW YORK STATE BAR ASSOCIATION (Over forty years to present)**
 - (i) Section on Trust and Estates - 1969 to Present**
- C. ASSOCIATION OF THE BAR OF THE CITY OF NEW YORK (1963 - 1974)**
 - (i) Trusts, Estates and Surrogates' Courts Committee - 1968 to 1970**
 - (ii) Legal Assistance Committee - 1965 to 1967**
- D. FORDHAM LAW ALUMNI ASSOCIATION (1957 to present)**
 - (i) Director - 1972 to Present**
- E. FORDHAM LAW REVIEW ASSOCIATION (1957 to present)**
 - (i) President - 1963 to 1964; (ii) Director - 1992 to present**
- F. WHO'S WHO IN AMERICAN LAW (3rd to present Editions)**
- G. WHO'S WHO IN THE WORLD (7th to present Editions)**
- H. WHO'S WHO IN FINANCE AND INDUSTRY (30th Edition)**
- I. ELLIS ISLAND MEDAL OF HONOR - 1999**
- J. INDUCTED INTO XAVIER HIGH SCHOOL HALL OF FAME (2008)**

V. PUBLICATIONS/LECTURES/REPORTS

1. As Research Counsel to BENNETT COMMISSION, contributed to and/or assisted in preparation and publication of FOURTH REPORT (1965), FIFTH REPORT (1966), and FINAL REPORT (1967), which dealt with the Modernization, Revision and Simplification of the Law of Estates in the State of New York.

2. Published, "Confidential Communications - The Accountants' Dilemma", which appeared in 35 *Fordham Law Review* 51 (1966). Article was Reprinted in condensed form in 17 *Law Review Digest No. 1*, page 1 (1966) and also Reprinted in the *Monthly Digest of Tax Articles* in February, 1967. Cited in 30 *Bus. Law* at 219; 36 *Ford. L. R.* 205; 79 *N. West L. Rev.* 663; 44 *Ohio St. L. Rev.* 743; 1982 *Duke L. R.* 604; and, 68 *Marq. L. Rev.* 155.

3. Published, "Accountants' Third Party Liability - How Far Do We Go?" which appeared in 36 *Fordham* *Law Review* 191 (1967). Article was Reprinted in condensed form in 18 *Law review Digest No. 1*, p. 1 (1967) and also Reprinted in the *Monthly Digest of Tax Articles* in July, 1968. Cited in Prosser, *Torts*, § 107 at 708 (4th Ed. 1971); *Henderson & Pearson, The Torts Process*, p. 943 (1975); *J. Calamari & Perillo, Contracts*, § 17-2 at 613 (2nd Ed. 1977); 7 *Set. Hall L. R.* at 517; 23 *Case W.L.R.* at 116; 48 *Ford. L. Rev.* at 409; 39 *J. Kans. Bar Assoc.* at 347; 120 *U. Pa. L. R.* at 614; 53 *Ford. L. rev.* at 293 and 298; 46 *A.L.R. 3d.* 979; 11 *Campbell L. Rev.* 123; 13 *J. Corp. L.* 863; 79 *NWL Rev.* 690; 53 *Md. L. Rev.* 714; 53 *Mo. L. Rev.* 693; 1984 *Duke L. Rev.* 141; 250 *N.E.2d* at 661 (*Sup. Ct. Ill.*); 170 *N. W.2d* at 407 (*Sup. Ct. Iowa*); 446 *N.E.2d* at 373 (*Ct. App. Ind.*) 46 *Rutgers L. Rev.* 465; 59 *Bklyn. L. Rev.* 1113; 621 *PLI/Corp.* 729; 18 *Ford. Urb. L.J.* 573; 8 *Fordham J. Corp. & Fin. L.* S1; and, 782 *PLI/Corp.* 665.

4. Interviewed by and quoted in *Forbes Magazine's* (September 1, 1968 edition. at p. 29) feature story dealing with "Stockholder Suits."

5. Published, "Accountant's Liability: Where Will It End?" which appeared in 5 *Trial Magazine* No. 4, p. 41 (June/July 1969). This Article was Republished in the *New York Law Journal*.

6. Published, "Measures to Reduce Accountants' Public Liability Exposure." which appeared in Vol. XL *The New York Certified Public Accountant* No. 1, p. 36 (January, 1970).

7. Published, “In Defense of Capital Gains,” which appeared in 42 Fordham Law Review 1 (1973). This article was Reprinted in condensed form in 23 Law Review Digest No. 6, page 93 (1973); was Reprinted in its entirety in XLIV CPA Journal No. 4, page 39 (April, 1974); was reviewed in the April, 1974 issue of Trusts & Estates at page 252; and, was also reviewed in the May, 1974 issue of the Journal of Accountancy at page 95. Cited in 8 Conn. L. R. at 614; 29 Buff. L. Rev. at 33; 38 Buff. L. Rev. 157; 7 Fl. S. L. Rev. 4; 29 Vt. L. Rev. 1086; 81 Ill. L. Rev. at 624; 51 Tenn. L. Rev. 319; 17 Ford. Urban L.J. 419 at 480; 621 PLI/Corp. 729; 32 U.C. Davis L. Rev. 919; and, 29 Vill. L. Rev. 1047. Moreover, the article was Reprinted as a stand-alone chapter on Capital Gains in a book published by Shepard’s/McGraw Hill (1980) entitled “Policy Readings in Individual Taxation.”

8. Published, “City’s Death Tax and Its Possible Effects,” New York Law Journal, Jan. 29,1976, page 1, col. 2. Reprinted as an Article of Special Interest by West Publishing Co. (379 N.Y.S.2nd No. 1, p. 15); and, was cited with approval by the Committee on State Legislation of the Association of the Bar of the City of New York in its report opposing such death tax. (Bulletin No. 3, April 15, 19 76 page 55 at 57). Cited in 29 Buffalo L. Rev. at 9 and 15 Ford. Urb. L. J. at 205. The New York City death tax was repealed shortly thereafter.

9. Testified on Dec. 8, 1977 before SEC in support of uniform securities arbitration. This testimony was published in an article entitled “Securities Litigation: The Unsolved Problem of PreDispute Arbitration Agreements in Pendent Claims,” 29 DePaul Law Rev. 693 at 720 (1980).

10. As a Public Member of the SECURITIES INDUSTRY CONFERENCE ON ARBITRATION, participated in the preparation of each of the following Published Reports to the Securities & Exchange Commission:

- A. FIRST REPORT (1977)
- B. SECOND REPORT (1978)
- C. THIRD REPORT (1980)
- D. FOURTH REPORT (1984)
- E. FIFTH REPORT (1986)
- F. SIXTH REPORT (1989)
- G. SEVENTH REPORT (1991)
- H. EIGHTH REPORT (1994)
- I. NINTH REPORT (1996)
- J. TENTH REPORT (1998)
- K. ELEVENTH REPORT (2001)
- L. TWELFTH REPORT (2003)
- M. THIRTEENTH REPORT (2005)
- N. FOURTEENTH REPORT (2009)

11. Published, “The Double Jeopardy of Corporate Profits,” which appeared in 19 Buffalo Rev. 1 (1980). Reprinted in The Monthly Digest of Tax Articles in March 1981. Such suggested relief was proposed by the U.S. Treasury as part of its plan for Tax Reform for Fairness, Simplicity, and Economic Growth. (See Treasury Dept. Rept. To President (Nov. 1984) Volume 1 - Overview at pp. 11819). Cited in 14 Ford. Urban L.J. 3; 14 Ford. Urban L.J. 199; 17 Ford. Urban L. J. 419.

12. Published, “The Arbitration of a Public Securities Dispute,” which appeared in 53 Fordham Law Review 279 (1984). This article was also chosen as a significant article for republication within The Westlaw Computer Service of the West Publishing Co. Cited in: 482 U.S. 258; 622 F. Supp. 1505; 628 F. Supp. 442; 632 F. Supp. 886; 634 F. Supp. 158; 795 F.2d 1393; 743 P.2d 973 (Ariz. Sup. Ct.); 41 Arb. J(3) 22; 66 Bost. Univ. L.R. 955; 35 UCLA L.R. 629; NYLJ 7/21/92 at 25; 1988 Duke L. Rev. 1110; 37 Em. L. J. 103; 75 Ill. B.J. 380; 53 Alb. L. Rev. 755; 38 Buff. L. R. 157; 1987 Col. Bus. L. Rev. 527; 20 Creighton L. R. 1009; 13 Del. J. Corp. Law 537; 1986 Duke L. J. 548; 75 Minn. L. Rev. 123; 55 Ford. L. Rev. 655; 73 Iowa L. R. 449; 46 Md. L. Rev. 339; 13 J. Corp. Law 863; 12 J Corp. Law 535; 79 Ky. L.J. 347; 78 Ky. L.J. 839; 66 Marq. L. Rev. 155; 73 Marq. L. R. 731; 53 Mo. L. F Rev. 693; 68 N.C. L. Rev. 327; 12 Nova L. Rev. 1375; 535 PLI/Corp. 309; 601 PLI/Corp. 545; 338 PLI/Lit. 109; 24 San

D. L. Rev. 199; 68 Tx. L. Rev. 451; 42 U. Miami L. R. 203; 20 U. Toledo L. Rev. 149; 20 U. Richmond L. R. 101; 72 Iowa L. R. 496; 74 Ky. L.J. 324; 20 Loyola L. A. Rev. 648; 71 Minn. L. Rev. 394; 11 Rut. L. Rev. 545; 19 St. M. L. J. 753; 19 Tex. Tech. L. Rev. 478; 62 Tul. L. Rev. 284; 63 Tul. L. Rev. 36; 67 Tx. L. Rev. 451; 32 Vil. L.R. 688; 33 Vil. L. Rev. 20; 34 Vil. L. Rev. 33; 32 Vil. L. Rev. 575; 61 Wash L. Rev. 245; 28 W. & M. L. Rev. 335; 22 W. Fla. L. Rev. 119; 68 Tx. L. Rev. 535; 37 Cath. U. Law Rev. 113 at 1119; Nat. L.J. Aug. 17, 1987; Nat. L. J. Nov. 14, 1988; 99 Harv. L.R. 668; 74 Corn. L.R. 380; 65 T il. L. Rev. 1547; 43 Drake L. Rev. 255; 43 Vand. L.R. 1199; 28 Wm. & M. L.R. 335; 48 Sep. Arb. J. 27; 820 PLI/Corp. 171; 48 Mar. Arb. J. 54; 12 Bridgeport L. Rev. 537; 11 Rev. Litig. 137 (Univ. of Texas Law School); 1990 Ann. Surv. Am. L. 303 (NYU School of Law); 24 Loyola L.A. L. Rev. 757; 14 Hastings Int'l & Comp. L. Rev. 405; 7 Ohio St. J. on Disp. Resol. 157; 15 J. Corp. L. 339; 33 Vil. L. Rev. 515; 8 J. L. & Com. 245 (Univ. of Pitt.); 59 Bklyn. L. Rev. 1113; 61 Def. Cours. J. 393; 45 Buffalo L. Rev. 49; P. Hoblin, The Case For A Single Securities & Commodities Arbitration Forum, Com. Law Letter, Aug. 1989 p. 3 t 8; J. Calamari & Perillo, Contracts, § 9-40 at 407 (3rd Ed. 1987); Jennings & Marsh, Securities Regulation, ch. 20 at 1339 (6th Ed. 1987); L. Lowenfels and A. Bromberg, Securities Industry Arbitrations: An Examination and Analysis, at 7-8; P. Hoblin, Securities Arbitration: Procedures, Strategies and Cases, Supp. No. 5 (Sept. 1990) S5-119 at 124; 41 Rutg. L. Rev. 541 at 545; 26 Pepp. L. Rev. 543; Bromberg & Lowenfels, Securities Fraud and Commodities Fraud, Chap. 14; 44 Cath U. L. Rev. 1169; 32 Harv. J. on Legisl. 493; 23 Ford. U.L.J. 483; NYS Bar J. Sept. 1996 at 18; 46 De Paul L. Rev. 109; Thomas Hazen, Law of Securities Regulation, 3rd Ed., at 75 and supplement thereto; 6 Fordham J. Corp. & Fin. L. 307; the AAA Securities Arbitrator Training Manual; and, Hazen and Markham, BROKER - DEALER OPERATIONS UNDER SECURITIES AND COMMODITIES LAW, § 12.16; and, 57 Stan. L. Rev. 1631.

13. Published, “The Securities Arbitrators Nightmare,” which appeared in 14 FordhamUrbanLaw Journal 3 (1985-6). This article was also chosen as a significant article for republication within The Westlaw Computer Service of the West Publishing Co.; and, was Reprinted in it entirety in Resolving Securities Disputes (PLI, 1986) at pp. 181-193. Cited in P. Hoblin, The Case For A Single Securities & Commodities Arbitration Forum, Com. Law Letter, Aug. 1989 p. 3 at 8; 12 J. Corp. L.: 35; 46 Md. L. R. 371; 24 New Eng. L. R. 389; 12 Nova L. Rev. 1375; 24 Loy. L. A. L. Rev. 757; 535 PLI/Corp. 17; 621 PLI/Corp. 17; 729; 62 Tulane L. Rev. 284; 33 Vil. L. Rev. 515; 28 W. & M. L. Rev. 335; 12 Tulane Maritime Lawyer 19; 16 Ford. U.L.J. 3; 17 Ford. U.L.J. 201; 23 Ford. U.L.J. 483; 6 Fordham J. Corp. & Fin. L. 307; and, the AAA Securities Arbitrator Training Manual.

14. Published, “The New York State Tax Windfall,” which appeared in 15 Fordham Urban Law Journal 199 (1986-7). This article was also chosen as a significant article for republication within the Westlaw Computer Service of the West Publishing Co.; and, was listed in the “Worth Reading” Column of the National Law Journal on July 13, 1987 at p. 25. The New York State Tax rates were subsequently lowered substantially in accordance with the recommendations suggested in the article.

15. Published, “Securities Arbitration After McMahan,” which appeared in 16 Fordham Urban Law Journal 3 (1988). This article was chosen as a significant article for republication, within the Westlaw Computer Service of the West Publishing Co. This article was also republished by PLI at its “Broker Dealer Institute 1988; by the NASD at its “First Annual Securities Arbitration Training Seminar”; and, by Prentice Hall at its “Securities Arbitration Update Seminar”. Cited in 17 Ford. U.L.J. 201; P. Hoblin, The Case For A Single Securities & Commodities Arbitration Forum, Com. Law Letter, Aug. 1989 p. 3 at 8; The New York Times (Dec. 3, 1989 at § 3 p. 1); P. Hoblin, Securities Arbitration: Procedures, Strategies and Cases, Supp. No. 5 (Sept. 1990) S5-119 at 124; 73 Marq. L. rev. 217; 68 N.C. L. Rev. 327; 24 N. Eng. L. R. 389 at 398, etc.; 72 Mich. B. J. 1046; C721 ALI-ABA 155; 14 Hastings Int'l & Comp. L. Rev. 431; 1991 J. Disp. Resol. 63 (Univ. of Miss. Sch. of Law & Center for Study of Dispute Resolution); 78 Ky. L.J. 839; 79 Ky. L.J. 347; 10 SEC. ARB. COMMENTATOR No. 9 a 1; 43 Vand. L.R. 1199; 62 Brooklyn L. Rev. 1512; 23 Ford. U.L.J. 483; 26 Pepp. L. Rev. 543; 7 Ford. J. Corp. & Fin. L. 193; and, the AAA Securities Arbitration Training Manual.

16. Testified on Mar. 31, 1988 at Congressional Hearings on Securities Arbitration before House Subcommittee on Telecommunications & Finance (Markey Committee); and, Testimony and written submission published by that Committee as part of its Official Report on HR 4960.

17. Published, “Adjournments: The Arbitration Virus” in the January, 1989 issue of the Securities Arbitration Commentator. Cited in C. Fletcher, Arbitrating Securities Disputes, P.L.I. (1990) at 258, 259 and 311; 23 Ford. U.L.J. 483; and, Securities Arbitration 2001, 1264/PLI/Corp 35.

18. Published, “The Level Playing Field” which appeared in 17 Fordham Urban Law Journal 419 (1990). This article was chosen as a significant article for republication within the West Computer Service of the West Publishing Co. Republished and distributed at seminars held by th American Arbitration Assoc., the National Association of Securities Dealers, the New York Count Lawyers Assoc., and the Practicing Law Institute. Cited in 3 Securities Arbitration Commentator No. 3 at 5 (Mar. 1990); the New York Times (Apr. 29,1990 at F15); the Wall St. Journal (Aug. 24,1990 at C1); the Wall St. Journal (Aug. 31, 1990 at C1); NYLJ June 12, 1990 p.2; 742 PLI/Corp. 67; 400 PLI/Lit. 201; 23 Securities & Commodities Regulation 17 (Oct. 3, 1990) at 178; Bromberg & Lowenfels, Securities Fraud and Commodities Fraud, Chapter 14; 19 Sec. Reg. Law J. 26 at 35; S. Goldberg, Punitive Damages in Securities Arbitration, Public. Inv. Arb. Bar Assoc. Report (1991) at 112; 3 Wake Forest L. Rev. 183; Abrams, The Law of Civil Rico at 86 (1991); 59 Bklyn L. Rev. 1113; N.Y.S. Bar J. Sept. 1996 at 18; 52 Bus L. J. (ABA) 199; 62 Brooklyn L. Rev. 1465; 782 PLI/Corp. 665; 23 Ford. U.L.J 483; 50 S.M.U. L. Rev 277; 46 De Paul L. Rev. 109;26 Pepp. L. Rev. 543; 63 Ford. L. Rev. 1505; 25 Ford. U.L.J. 193; 22 Ford. U.L.J. 503; 33 Houst. L. Rev. 327; 29 Ind. L. Rev. 105; 26 Pepp. L. Rev. 543; and, 78 Boston U.L. Rev., 255.

19. Published, “I Won't Sit Without A Record” which appeared in the September, 1990 issue of the Securities Arbitration Commentator. Cited at 23 Ford. U.L.J. 483; 63 Ford. L. Rev. 1505; and, 63 Ford. L. Rev. 1643; and, 6 Fordham J. Corp. & Fin. L. 307.

20. Published “Punitive Damages in Securities Arbitration: The Tower of Babel Revisited,” which appeared in 18 Fordham Urban Law Journal 573 (1991). This article was chosen as a significant article for republication within the Westlaw Computer Service of the West Publishing Co. It was also cited in the “Worth Reading” column of the National Law Journal; 177 A.D.2d 342, 57, N.Y.S.2d 232 (A.D. 1st Dept.); 26 Colum. J. L. & Soc. Probs. 341; 781 PLI/Corp. 7; 782 PLI/Corp. 66 ; 1991 J. Disp. Resol. 63 (Univ. of Miss. Sch. of Law & Center for Study of Dispute Resolution); 58 Alb. L. Rev. 55; 59 Bklyn. L. Rev. 1113; 63 Ford. L. Rev. 529; 109 Harv. L. Rev. 111; 45 De Paul L. Rev. 65; 63 Ford. L.R. 1571; 109 Harv. L. Rev. 269; 23 Hofst. L. Rev. 913; 33 Houst. L. Rev. 327; 71 N. Dak. L Rev. 145; 70 Tul L.R. 1537; 52 Bus L. J. (ABA) 199; 45 Buff. L. Rev. 49; 1995 J. Disp. Resol. 129; 10 Ohio S J. 509; 26 Pepp. L. Rev. 543; 144 U. Pa. L. Rev. 197; 92 Northwestern L. Rev. 1; 23 Ford. U.L.J. 483; Thomas Hazen, Law and Securities Regulation, 3rd Ed., at 18, 20, 25 and supplement thereto;2 J. Am. Arb. 100; 6 Fordham J. Corp. & Fin. L. 307; and, J. M. Nolan-Haley, Alternative Dispute Resolution in a Nutshell, 186 (1992); and, Hazen and Markham, BROKER-DEALER OPERATIONS UNDER SECURITIES AND COMMODITIES LAW, §§ 12.10 and 12.4. Reviewed in 4 Securities Arbitration Commentator No. 6 at p. 12. Quoted extensively in March 23,1992 issue of BARRON'S at p. 19. Reproduced and distributed by P.L.I. and AAA at their Arbitration 1992 Seminars in New York and Los Angeles; and, by the NASD at its 1992 Seminar in Orlando. Reprinted in Clark Boardman's 1993 Securities Law Review. Cited extensively in a leading article on punitive damages on page 1 of the New York Law Journal, January 21, 1993.

21. Published “The Fordham Urban Law Journal: Twenty Years of Progress,” which appeared in 19 Fordham Urban Law Journal 915 (1992). Nearly fifteen thousand copies of this article were distributed to the Fordham alumni, Deans of ABA schools, judges, etc. This constituted the largest distribution ever of any article appearing in any of the Fordham law journals.

22. Published “A Tribute to Judge Duffy” 3 Fordham Entertainment, Media & Intellectual Property Law Forum, 15 (1992).

23. Co-organized, Moderated and Edited Symposium and Transcript thereof entitled “Entering the U.S. Securities Markets: Opportunities and Risks for Foreign Companies,” 17 Fordham Intl. Law J. S1 (1993). Several thousand additional reprints of this article were distributed throughout the world; and, this Symposium edition has been widely cited.

24. Published “SICA Does the Bell Toll for Thee?”, 6 SEC. ARB. COMMENTATOR No. 1 at 1 (Jan. 94).

Cited at 23 Ford. U.L.J. 483; 998 PLI Corp. 557; 11 SEC. ARB. COMMENTATOR No. 1 at 1 (Oct. 99), and, 6 Fordham J. Corp. & Fin. L. 307.

25. Published “Should McMahon Be Revisited?” 59 Brooklyn Law R. 1113 (1994). Cited in 23 Hofstra L. Rev. 913; 59 Brooklyn L. Rev. 659; 949 PLI Corp. 681; 29 Ind. L. Rev. 105; 11 Ohio St. J. Disp. Res. 267; 144 U. Pa. L. Rev. 197; 62 Brooklyn L. Rev. 1438; 29 Ind. Law Rev. 105; 62 Brooklyn L. Rev. 1557; 92 Northwestern L. Rev. 1; 62 Brooklyn L. Rev. 1459; 63 Ford. L. Rev. 1643; 72 Tulane L. Rev. 1; 52 Bus L.(ABA) 283; 23 Ford. U.L.J. 483; 63 Ford. L. Rev. 1505; 92 Northwestern L. Rev. 69; 46 De Paul L. Rev. 109; 1999 Columb. Bus. L. Rev. 1; 21 J. Corp. L. 363; 50 J. Legal Educ. 35; 1196 PLI/Corp. 725; 32 New Eng. L. Rev. 553; 11 Ohio St. L. J. 297; 4 Cardozo W. L. J. 511; and, 40 Wm. & Mary L. Rev. 1055.

26. Published “How Do You Say Goodbye?” a tribute to Professor John D. Calamari, 63 Fordham Law Review 933 (1995). Republished in special edition of the Fordham Law Review entitled “A Millenium Dedication to the Fordham Faculty, Past and Present,” 68 Ford. L. Rev. Supplement.

27. Moderated, edited and wrote the Foreword to the article entitled “New York Stock Exchange, Inc. - Symposium on Arbitration in the Securities Industry,” which appeared at 63 Fordham Law Review 1495 (1995). Nearly ten thousand additional reprints of this article were distributed. Cited in 70 F. 3d 1271(6 th Cir. 1995); 7 1 F.3d 298 (8' Cir. 1995); 44 Cath. U.L. Rev. 1169; 62 Brooklyn . R. 1445; 64 Ford. L. Rev. 97; 23 Hofstra L. Rev. 913; 64 Ford. L. Rev. 913; 56 La. L. Rev. 1015; 62 Brooklyn L. Rev. 1499; 62 Brooklyn L. Rev. 1514; 26 Stetson L. Rev. 329; 92 Northwestern L. Rev. 1; N Y.S. Bar J. Sept. 1996 at 18; 34 Hou. L. Rev. 199; 10 SEC. ARB. COMMENTATOR No. 9 at 1 (June 99); 45 Buffalo L. Rev. 49; 64 Brooklyn L. Rev. 471; 64 Ford. L. Rev. 1121; 23 Ford. U.L.J. 483; 70 Tul. L R. 1537; 46 De Paul L. Rev. 199; 4 Cardozo W. L. J. 511; 33 New Eng. L. Rev. 353; 96 Mich. L. Rev. 20; 2 Harvard Negot. L. Rev. 207; 109 Harv. L. R. 269; 26 Pepp. L. Rev. 543; 4 Ford. Fin. & Sec. & Tax L. R. 77; 949 PLI Corp. 681; 31 Wake Forest L. Rev. 183; 93 Am. J. Int'l. Law 805; 1997 Col. Bus L. Rev. 45.; and, Blatt, Hammesfahr and Nugent, Punitive Damages: A State-By-State Guide To Law and Practice (Treatise) at 177. In addition, parts of this Symposium Issue were reprinted in the Practicing Law Institute's Securities Arbitration 1995.

28. As a Public Member of SICA, helped draft “Report of the Securities Industry Conference On Arbitration On Representation Of Parties In Arbitration By Non-Attorneys,” and wrote the Foreword to it which was published at 22 Fordham Urban Law Journal 503 (1995). This Report was widely disseminated, including to each attorney general and each state bar association in the country. This Report was reprinted in the Practicing Law Institute's Securities Arbitration 1995. Cited in 24 Fordham Urb. L.J. 483; 1 Col. Bus L. Rev. 45 at 58; 939 PLI Corp. 31; 998 PLI Corp. 33; 50 J. Legal Educ. 35; and, 1196 PLI/Corp. 31.

29. Published “Mastrobuono Not The Last Word on Punitives”, 13 ALTERNATIVES 1 (Nov. 1995). Cited in 64 Ford. L. Rev. 1121; 92 Northwestern L. Rev. 1; 38 Harv. Int. L.J. 59; and, Howard Hunter, Modern Law of Contracts § 17.24 (2003) and supplement thereto.

30. Published “Ruder Report Is A Delicate Compromise.” 14 ALTERNATIVES 3 (Mar. 1996). Cited in 23 Ford. U.L.J. 483; SB 69 ALI-ABA 549; 931 PLI Corp. 31; 949 PLI Corp. 01; 998 PLI Corp. 557; and, 92 Northwestern L. Rev. 1.

31. Published, “SICA: The First Twenty Years,” 23 Fordham Urban L.J. 483 (1996). Cited in 45 Buffalo L. Rev. 49; 52 Bus. Law 283; 3 Ford. Fin., Sec. & Tax L. For. 31; 62 Brooklyn . Rev. 1335; T. Stipanowich, ADR and the “Vanishing Trial”: The Growth and Impact of “Alternative Dispute Resolution,” at 73; 92 Northwestern L. Rev. 1; 62 Brooklyn L. Rev. 1465; 26 Ford. Urban L.J. 167; 10 SEC. ARB. COMMENTATOR No.9 at 1 (June 99); 51- Oct Disp. Resol. J. 94; 1381 PLI/Corp. 281; Bromberg & Lowenfels, Securities Fraud and Commodities Fraud, Chap. 14; 26 Ford. Urb. L.J. 167; 54 Bus. Law 1599; 1131 PLI Corp. 379; 92 Northwestern L. Rev. 69; 53 Disp. Resol. J. 8; 1102 PLI Corp. 813; 385 S. Tex. L. Rev. 485; 1998 Wisc. L. Rev. 463; 3 No. 1 Disp. Resd. Mag. 19; 4 Ford. Fin. & Tax L.F. 77; 65 Ford. L. Rev. 483; 25 Ford. Urb. L. J. 193; 46 De Paul L. Rev. 109; and, 40 Wm. & Mary L. Rev. 1055; 54 Vand. L. Rev. 591; 7 Ford J. Corp. & Fin. L. 193; 23 Cardozo L. Rev. 991; 27 Brooklyn J. Int. L. 43; 6 Ford. J. Corp. & Fin. L. 307; 24 W. New Eng. L.Rev. 47; 84 N.C.L. Rev. 123; 42 Wm & Mary L. Rev.

1; 25 Pace L. Rev. 1; and, 57 Stan L. Rev. 1630. This article was translated into the Russian language and distributed at the International Conference on Arbitration co-sponsored by the New York Stock Exchange and the Moscow Interbank Currency Exchange held for two days in April 2000 in Moscow, and attended by representatives of about twenty exchanges from the former Soviet Union and Eastern Europe. See Arbitration “Dream Team” Visits Moscow, THE EXCHANGE, August 2000 at 5.

32. Published “Memories of a Second Father,” a tribute to the Hon. William H. Mulligan, 65 Fordham L. Rev. 21 (1996). Republished in special edition of the Fordham Law Review entitled “A Millenium Dedication to the Fordham Law Faculty, Past and Present,” 68 Ford. L. Rev. Supplement.

33. Published “The Betrayal of Mc Mahon,” 24 Fordham Urban L. J. 221 (1997). Cited in 92 Northwestern L. Rev. 1; 9 Securities Arbitration Commentator No. 3 at 1 (Oct. 97); 40 Ariz. L. Rev. 1101; 92 Northwestern L. Rev. 69; 26 Ford. Urb. L.J. 167; 92 Northwestern L. Rev. 99; 11 SEC. ARB. COMMENTATOR No. 1 at 1; 6 Fordham J. Corp. & Fin. J. 307; and, 40 Wm. & Mary L. Rev. 1055. This article was also republished by the American Arbitration Association in its book ADR and the Law (1997).

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35. Published FOREWARD to “Reshaping Corporate Governance and Shareholder Activism for the 21st Century,” 2 Fordham Finance, Securities and Tax Law Forum 1 (1997). Cited at 3 Ford. Fin., Sec. Tax L. For. (viii).

36. SICA Farewell Speech, published at 9 Securities Arbitration Commentator No. I at 13 (July 97).

37. Published “Securities Arbitration: A Clinical Experience” 25 Fordham Urban L.J. 193 (1998). Cited and Synopsised in Czpanskiy’s Biography of Books and Articles relating to Clinical Legal Education and Poverty Law(2000); 6 Fordham J. Corp. & Fin. L. 307; 12 Clinical L. Rev. 101; and, 11 Fordham J. Corp. And Fin. L. 57.

38. Published “An Arbitrator's Perspective,” Practicing Law Institute - Securities Arbitration 1998, Vol. 1 at 307. Cited at 26 Fordham Urban L.J. 167; 4 Ford. Fin. Sec. & Tax L. F. 77; 6 Fordham J. Corp. & Fin. L. 307; and, PLI, Securities Arbitration 2002 st 61. This article was translated into the Russian language and distributed at the International Conference on Arbitration co-sponsored by the New York Stock Exchange and the Moscow Interbank Currency Exchange held for two days in April 2000 in Moscow, and attended by representatives of about twenty exchanges from the former Soviet Union and Eastern Europe. See Arbitration “Dream Team” Visits Moscow, THE EXCHANGE, August 2000 at 5. Reprinted by NYSBA SECURITIES ARBITRATION 2004 (October, 2004).

39. Published FOREWARD to Symposium issue entitled “What's New in the Financial Services Industry?,” 3 Fordham Finance, Securities and Tax Law Forum 30 (1998).

40. Published “Riding The Trojan Horse Back To Wilko?,” 10 SEC. ARB. COMMENTATOR No. 7 at 1 (July 99). Cited in 42 Wm & Mary L. Rev. 1; and, 6 Fordham J. Corp. & Fin. L. 307.

41. Published “The Trojan Horse: Love It or Leave It,” 11 SEC. ARB. COMMENTATOR No. 1 at 1 (Oct. 99). Cited in 1196 PLI/Corp. 67.

42. Published “Farewell to Comrads-in-Arms,” 11 SEC. ARB. COMMENTATOR No. 4 at 4 (Jan. 2000), a tribute to James E. Beckley and William Fitzpatrick, in recognition of their significant service to SICA. Cited in Securities Arbitration 2001, 1264 PLI/Corp 35; and, 6 Fordham J. Corp. & Fin. L. 307.

43. Lecturer/Panelist at Symposium entitled “Arbitration in Securities Industry” in Moscow, RUSSIA, co-sponsored by the NYSE and the Moscow Interbank Currency Exchange (April 2000)

44. Published "Advocacy With Civility: A Prescription For Success", NASD NEUTRAL CORNER, January 2001. Cited in 28 Fordham Urban L.J. 891; 6 Fordham J. Corp. & Fin. L. 307 ; and, NASD NEUTRAL CORNER, August 2002 at 6.
45. Published "The Resolution of Securities Disputes", 6 Fordham Journal of Corporate and Financial Law 307 (2001). This issue was dedicated to the Hon. Arthur Levitt by Dean Feerick. Cited in 26 Pace L. Rev. 183; 27 Brooklyn J. Int. L. 443; 90 Calif. L. Rev. 1203; 14 Am. Rev. Int'l. Arb. 1; 57 Stan L. Rev. 1630; and, PLI Securities Arbitration 2002, at 61. Reprinted by NYSBA SECURITIES ARBITRATION 2004 (October, 2004).
46. Published FOREWARD to The Albert A. DeStefano Symposium on SEC Regulation Fair Disclosure, 6 Ford. J. & Corp. & Fin. L. 269 (2001).
47. Published "Farewell to an Old Friend" (Testimonial to Dean William J. Moore), which appeared at 29 Ford. Urb. L.J. 9 (2002).
48. Published "Semper Fidelis: A Tribute to John Feerick", 70 Ford. L. Rev 2173 (May 2002).
49. Co-edited "Fordham Law and the United States Supreme Court/A Selected Portrait." This publication was distributed to the Fordham alumni as well as to all federal judges throughout the United States (2002).
50. Moderated and Edited Symposium "Enron: What Went Wrong?", 8 Fordham Journal of Corporate and Financial Law 2S1 (2002). Cited in 28 Brooklyn J. Int. L. 549; and, 29 Iowa J. Corp. L. 735 (2004).
51. Published "The Urban Law Journal: A New Millennium", 60 Fordham Urban L.J. No. 5 (2003).
52. Lecturer/Panelist at Symposium entitled "Arbitration in Securities" held in Cairo, EGYPT, co-sponsored by the N.Y.S.E. and the Cairo & Alexandria Stock Exchanges (March 2003)
53. Published "The Six Year Rule: To Be Or Not To Be?", SEC. ARB. COMMENTATOR No.5 at 4 (Sept. 2003).
54. Published "The Composition of SRO Panels?" 2003 SEC. ARB. COMMENTATOR No. 6 at 3 (Oct.2003). Reprinted by NYSBA/SECURITIES ARBITRATION 2004 (October, 2004). Cited in 84 N.C.L. Rev. 123.
55. Published "Post-Sawtelle Tremors: Arbitration Faces New Questions about the Sustainability of Punitive Awards, ALTERNATIVES, Vol. 22 No.4 (May 2004). Republished by NASD, THE NEUTRAL CORNER, August, 2004. Reprinted by NYSBA/SECURITIES ARBITRATION 2004 (October, 2004). Cited in 23 Alternatives to High Cost of Litigation 19 (Feb 2005).
56. Delivered Lecture, Understanding Financial Statements, at the NEW YORK STATE JUDICIAL INSTITUTE, May 10, 2004.
57. Published "A Life Without SICA", 2004 SEC. ARB. COMMENTATOR, No. 5 at 1 (July, 2004). Reprinted by NYSBA/SECURITIES ARBITRATION 2004 (October, 2004).
58. Panelist at Symposium (NASAA LISTENS) held by the North American Securities Administrators Association (NASAA) at the National Press Club in Washington, D.C. on July 21, 2004. The Symposium, which dealt with securities arbitration was recorded and can be accessed through the NASAA website. This Symposium received wide coverage in the press.
59. Panelist at NYSBA's Seminar, SECURITIES ARBITRATION 2004 (October 26, 2004) in New York

City.

60. Published “Beware Of What You Ask For: *You Might Just Get It*”, 2005 SEC. ARB. COMMENTATOR, No. 2 at 1. Reprinted by N.Y.C. Bar Center for CLE (2006).

61. Testified on March 17, 2005 before U.S. CONGRESSIONAL COMMITTEE ON FINANCIAL SERVICES regarding The Arbitration of Securities Disputes. Printed Statement on Committee Website. Cited in 76 Cinn. L. Rev. 493 at 494 (2008).

62. Panelist at NYSE REGULATION FIRST ANNUAL SECURITIES CONFERENCE (June 22, 2005) in New York City. Cited in 76 Cinn. L. Rev. 493 at 494 (2008).

63. Published “ROADMAP TO SECURITIES ADR”, 11 Fordham Journal of Corporate and Financial Law 413 (2006). Cited in 76 Cinn. L. Rev. 493 at 494. (2009); 15 Cardozo J. of Conflict Resolution 597 at 598 (2014). Republished as Chapter in book entitled “SECURITIES ARBITRATION: A ROAD MAP,” by AMICUS Press (2008).

64. Published “HAVE PRE-HEARING MOTIONS TO DISMISS BECOME ABUSIVE IN SRO ARBITRATIONS?”, SEC. ARB. COMMENTATOR, No. 5 at 1 (Nov. 2006). Sidebar Study related to Article cited in SEC Release No. 34-59189 (Dec. 31, 2008) approving FINRA Rule Change limiting such motions.

65. Published “A TRIBUTE TO THE FORDHAM JUDICIARY: A CENTURY OF SERVICE”, 75 Fordham L. Rev. 2303 (2007).

66. Co-authored and published with James D. Yellen, “Winning Over The Arbitrators– A Dozen Helpful Hints for a Successful Award”, PLI SECURITIES ARBITRATION 2007 (2007).

67. Published, “SECURITIES ADR”, Chapter VIII, American Arbitration Principles and Practices, Practicing Law Institute (2008).

68. Published FOREWARD to Symposium entitled THE SUBPRIME MORTGAGE MELTDOWN AND THE GLOBAL FINANCIAL CRISIS, 14 Fordham Journal of Corporate and Financial Law 5 (2008).

69. Published “SECURITIES ARBITRATORS DO NOT GROW ON TREES”, 14 Fordham Journal of Corporate and Financial Law 49 (2008). Cited in CPR’s ALTERNATIVES magazines Vol. 26 No. 10 (Nov. 2008). In addition, selected as one of several significant articles for review as part of its 2008 ANNUAL SURVEY, SEC. ARB. COMMENTATOR, No. 5 at 1 (Apr. 2009). Reproduced and distributed at NYS Bar Association Seminar, SECURITIES ARBITRATION and MEDIATION 2009 — THE NEW WORLD (Nov. 12, 2009) in New York City. Reproduced and distributed at PLI Seminar entitled SECURITIES ARBITRATION 2011 (Aug. 10, 2011) in New York City.

70. Feature Interview, A LEGAL MIND WITH NO QUIT IN IT, N.Y. Times, Dec. 12, 2008 at 36.

71. Petitioned SEC urging reinstatement of “up-tick rule” regarding short selling in the securities markets (March 4, 2009). Posted as part of comment letter to SEC’s meeting of April 8, 2009 which considered changes to the rules regarding short sales.

72. Published, Letter to Editor on “The Attraction of Night School”, U.S. News and World Report, May, 2009 at 10.

73. Published FOREWARD to the ALBERT A. DESTEFANO LECTURE Symposium entitled “IS CHAPTER 11 DEAD,” 15 Fordham Journal of Corporate and Financial Law 2 (2009).

74. Panelist at NYSBA’s Seminar, SECURITIES ARBITRATION and MEDIATION 2009 — THE NEW WORLD

(Nov. 12, 2009) in New York City.

75. Interviewed and Appeared on *Dan Rather Reports* on HDNET (Dec. 15, 2009) featuring the Fordham Law Securities Arbitration Clinic (accessible at <http://www.vimco.com/8264873> and through the Fordham LAW School website)

76. Published FOREWARD TO THE ALBERT A. DESTEFANO LECTURE Symposium (Mar. 8, 2010) entitled CORPORATE ACCOUNTABILITY: GOVERNANCE AND COMPENSATION ISSUES, 16 Fordham Journal of Corporate and Financial Law 1 at 3 (2010).

77. Panelist at PLI Seminar - - SECURITIES ARBITRATION 2011 - - in New York City at which the article SECURITIES ARBITRATORS DO NOT GROW ON TREES (2008) was republished, distributed and discussed.

78. Published FOREWARD TO THE ELEVENTH ALBERT A DESTEFANO LECTURE (2011) featuring Hon. Jed S. Rakoff's remarks entitled: *Are Federal Judges Competent? Dilettantes in an Age of Economic Expertise*, 17 Fordham Journal of Corporate and Financial Law 1 (2011).

79. Published Commentary entitled *OBAMA TAX REFORMS ARE MISGUIDED* in "THE HILL", Sept. 30, 2011 at <http://thehill.com/blogs/congress-blog/economy-a-budget/184857-obama-tax-reforms-are-misguided>.

80. Published Commentary entitled WHAT HAPPENED TO THE "UP-TICK" RULE? in "THE HILL," Dec. 8, 2011 at http://thehill.com/blogs/...a.../198145whathappened_to_the_up_tick_rule.

81. Published FOREWARD TO THE TWELFTH ALBERT A. DESTEFANO LECTURE (2012) featuring JAMES B. STEWART'S remarks entitled: *Hiding Behind the Corporate Veil*, 18 Fordham Journal of Corporate and Financial Law 1 (2012).

82. Panelist at two separate panels at PLI Seminar – SECURITIES ARBITRATION 2012 – in New York City entitled: a) EXPUNGEMENT HEARINGS; and, b) ETHICAL ISSUES IN SECURITIES ARBITRATION AND MEDIATIONS.

83. Delivered opening remarks to THIRTEENTH ALBERT A. DESTEFANO LECTURE (2013) featuring Vice Chancellor's J. TRAVIS LASTER'S remarks entitled: *REVLON IS A STANDARD OF REVIEW: WHY IT'S TRUE AND WHAT IT MEANS*.

84. Delivered Opening Remarks to: 40th Anniversary FORDHAM URBAN LAW JOURNAL SYMPOSIUM on Feb. 28, 2013.

85. Quoted in Forbes Article: Ed Koch's Will: Takes Take Big Bite Out of Hizzoner's Nest Egg – Personal Finance, 3/15/13 at www.Forbes.com/sites/deborahjacobs/2013003/15/ed-kochs-will-taxes-take-big-bite-out-of-hizzoners-nest-egg.

86. Published: THE TROJAN HORSE REVISITED in the SECURITIES ARBITRATION COMMENTATOR, No. 4 March 2-13 at page 1.

87. Published: FORWARD TO THE THIRTEENTH ALBERT A. DESTEFANO LECTURE (2013) featuring Travis Lasiter's remarks entitled REVLON IS A STANDARD OF REVIEW: WHY IT'S TRUE AND WHAT IT MEANS; 19 Fordham Journal of Corporate and Financial Law (2013)

88. Published: IN THE SERVICE OF OTHERS: FROM ROSE HILL TO LINCOLN CENTER, 82 Fordham Law Review 1533 (2014). This article traces Fordham Law School's record of Public Service since its

inception on the Rose Hill Campus in 1905. Cited in 83 Ford. L. Rev. 1143 (2014).

89. Published: DEDICATION, TRIBUTE TO HON. JOSEPH M. MCLAUGHLIN, 83 Ford. L. Rev. 1717 (2015).

90. Published Commentary: THE THEFT OF SOCIAL SECURITY? - - in Washington's "The Hill", April 30, 2015 at <http://thehill.com/blogs/congress-blog/economy-budget/240546-the-theft-of-social-security>.

91. Participated in publication of article "SECURITIES ARBITRATION AT FORDHAM LAW: A TEAM EFFORT". FORDHAM LAW NEWS, September 14th, 2017, appearing at <https://news.law.fordham.edu/blog/2017/09/14/securities-arbitration-at-fordham-law-a-team-effort/>

92. Contributor, ONE - MINUTE LECTURES (Alumni Turning to Teaching), FORDHAM LAWYER, Fall/Winter 2018 appearing at: <https://news.law.fordham.edu/blog/2019/01/22/one-minute-lectures/>

93. Contributor, TAXES, MAKING SENSE OF IT ALL (A Conversation between Five Fordham Law Professors about Tax Law) FORDHAM LAWYER, Fall/Winter 2018, at 2; also appearing at: <https://news.law.fordham.edu/blog/2019/01/22/taxes-making-sense-of-it-all/>

94. Published: Commentary entitled "AMERICA IS SELLING ITS SENIORS SHORT" In "THE HILL" June, 2019 at <https://thehill.com/opinion/finance/449745-america-is-selling-its-seniors-short>