

Compliance Affinity Group Leadership

Co – Chairs



Jodi Erlandsen '05
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Jodi Erlandsen, after practicing in-house for many years including as General Counsel and Head of Compliance for a global asset manager, owns a boutique legal and regulatory compliance consultancy dedicated to traditional and alternative investment advisers, hedge funds, funds of funds, private equity funds, offshore investment vehicles, broker-dealers and other financial service providers in the US and abroad. She provides external counsel and compliance support to RIAs and BDs and is regularly engaged for interim on-site engagements such as interim General Counsel or CCO roles. In addition, Ms. Erlandsen welcomes clients from outside the financial services industry (e.g., IT providers, real estate developers and managers, miscellaneous start-up ventures, etc.).

Ms. Erlandsen is admitted to practice law in New York and Connecticut. She is a member of the Investment Adviser Association, Connecticut Hedge Fund Association, 100 Women in Finance, New York County Lawyers Association, American Bar Association and the Bar Association of Erie County, New York and Co-Chairs the Fordham Law School Compliance Affinity Group, an association of alumnae who are compliance professionals. From 2014 until 2019, Ms. Erlandsen served on the Board of Directors of the Service Program for Older People, Inc., a New York-based non-profit organization dedicated to enhancing the quality of life of adults age 55 and older through the delivery of comprehensive mental health and related supportive services.

Ms. Erlandsen received her B.A. from Cornell University (1996), her MPA from Columbia University (1998) and her J.D. from Fordham University School of Law (2005).



Doel Kar '18
Forensic Risk Alliance
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Doel is a Director at FRA's New York office. She has been a practicing attorney for over 18 years with significant experience as a compliance officer. She has developed compliance programs to fit varied business units including risk assessments, compliance-focused communications and trainings, and remediation actions for risk mitigation and enhancement of controls.

She has conducted and managed investigations into potential fraud, corruption, conflicts of interest and other types of corporate misconduct. Doel helps clients understand the often complex correlation between culture, business ethics, organizational justice and building trustworthy organizations.

Prior to joining FRA, Doel spent 15 years with IBM as In-house Counsel and Compliance Officer. Doel was appointed the first Trust & Compliance Officer for IBM in the India/South Asia region. She advised regional general managers and other senior management in India/South Asia and South Africa on challenging, time-sensitive transactions, implementing and executing controls and other compliance initiatives in those higher risk territories. While assigned to IBM's offices in New York, Doel was responsible for overseeing

IBM's global anti-corruption compliance program relating to its third-party resellers, distributors and worldwide channels organization. In this role, she worked with data analytics specialists to develop, execute and maintain analytics based tools and other automated solutions as preventive controls.

Doel graduated from the National Law School of India University with her B.A., LL.B. in 2000. She recently graduated magna cum laude from Fordham University School of Law with her LL.M., where she was also awarded the Carlos Hawker Award for the highest cumulative grades in the Corporate Compliance program.

Doel has lived and worked in Mumbai and Bangalore in India, and Johannesburg in South Africa. She is a native Hindi and Bengali speaker, and fluent in English.

Executive Committee



Tricia Fratto '05
Ethics Suite
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Tricia Fratto is a co-founder and the General Counsel of Ethics Suite, LLC. Ethics Suite offers a web-based and anonymous internal misconduct, theft, and fraud reporting platform and a full range of legal-compliance and forensic accounting consulting services. Prior to founding Ethics Suite, Tricia served as the Director of Global Investigations at Starwood Hotels and Resorts, where she provided oversight of its worldwide anti-corruption and anti-bribery investigations, designed and implemented protocols and policies, developed and conducted training programs, and advised on remediation plans. Tricia also practiced at two of the world's largest law firms as a white-collar litigator, advising a broad range of clients on fulfilling their legal obligations. Tricia is a 2005 graduate of Fordham University School of Law, and earned a bachelor of arts degree from Long Island University, C.W. Post Campus in 2001.



Eric Hawkins '07
Morgan Stanley
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Eric Hawkins is an Executive Director, Regulatory Advisory, in the Wealth Management Compliance Department at Morgan Stanley. Before joining Morgan Stanley, Mr. Hawkins was a senior associate in the Securities Litigation and Corporate Governance Group at Weil, Gotshal & Manges LLP, where his practice focused on representing issuers, underwriters, and directors in shareholder litigation, as well as counseling financial industry clients on litigation risk. Before joining Weil, he was a clerk to the Honorable William C. Conner, Senior United States District Judge, in the United States District Court for the Southern District of New York. Mr. Hawkins is a graduate of Fordham University School of Law and Hamilton College.



Emily Jordan '03
The Segal Group
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Emily B. Jordan, Esq., is a regulatory attorney certified in privacy (CIPP/US, CIPM) and health care compliance (CHC) with over 15 years of progressive experience in both the government and private sector. Ms. Jordan is currently the Vice President of Legal for The Segal Group, located in Manhattan. She also earned certification as a GBA (Group Benefits Administrator) from the International Foundation of Employee Benefits Specialists.

Prior to The Segal Group, Ms. Jordan worked as an Assistant Vice President & Compliance Counsel for the Amalgamated Family of Companies in White Plains, NY where she provides advice and guidance on compliance with federal and state regulations governing health and welfare benefit plan administration, claims processing, utilization management, pensions and annuities and various worksite insurance products, and serves as a subject matter expert for enterprise data privacy and information security governance including compliance with HIPAA, GLBA, GDPR and CCPA. She has also worked as a Senior Attorney and Privacy Counsel for Affinity Health Plan in Bronx, New York, Deputy Corporate Compliance Officer and Chief Privacy Officer for the New York City Health and Hospitals Corporation, as a Senior Attorney for the New York State Medicaid Inspector General's Office, and as a Senior Attorney for the New York State Insurance Fund. Ms. Jordan is a 2003 graduate of Fordham Law School, and earned a bachelor of arts degree at the University of Massachusetts, Boston.



Patrick L. Marano Jr. '10
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Patrick serves as the General Counsel and Chief Compliance Officer of Brean Asset Management, LLC, a registered investment advisor. Prior to Brean, he was an independent legal & compliance consultant serving financial services clients in the New York area. Prior to consulting, Patrick was a Legal & Compliance Manager for five years at Centerbridge Partners. At Centerbridge Patrick advised credit, private equity and real estate investment teams on transactions and regulatory matters in the US and abroad. Prior to Centerbridge, he was the Compliance Officer for debt and credit origination businesses at Barclays Capital. Since 2001, Patrick has held roles in legal, compliance, risk and technology functions at Barclays, Citigroup and Goldman Sachs.

Patrick graduated from Fairfield University's Dolan School of Business in 2001 with a B.S. in Information Systems and Operations Management and a minor in Finance. He received his J.D. from Fordham University School of Law in 2010.



Denise Wong '21
Walmart eCommerce and
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Denise Wong is a Senior Manager of Global Policy Governance for Walmart eCommerce and Omnichannel. She has worked for over 15 years in consumer products compliance. In her current role, she is involved with developing decision making compliance risk frameworks. She maintains and updates internal and public facing online content for item and seller policies. She helps to execute U.S. and global key policy strategies by working cross-functionally with multiple business partners and ensures program implementation is consistent across U.S. and global eCommerce markets. Leading complex, business critical policy discussions in support of the overall legal and compliance risks, operating plan and roadmap, she cultivates an environment where associates respect and adhere to company standards of integrity and ethics by integrating these values into all programs and practices. Prior to this new role, she was Senior Manager of Product Safety and Regulatory Compliance for all of Walmart's private brands transacting in the eCommerce space.

Before joining Walmart, Denise spent 4 years working in Product Integrity and Compliance as well as Business Process Initiatives for Macy's Merchandising Group that oversee the operations of all Macy's and Bloomingdale's private brand offerings. Prior to that, Denise created the compliance department while at Marvel Entertainment after the acquisition by the Walt Disney Company aligning strategy and corporate risk in licensing and intellectual property compliance. Denise graduated with an MSL at Fordham Law School and was privileged to be part of the inaugural class of the Online MSL program in Corporate Compliance.

Student Representative



Marie Bogenez '22
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Originally from France, Marie moved to the U.S. almost seven years ago when she was recruited to play golf for the University of Delaware. After graduating, she worked as a paralegal at Wilmer Cutler Pickering Hale and Dorr LLP in New York. She also spent time working as a paralegal in-house in Luxembourg prior to beginning law school at Fordham. Marie is currently a student and plans to work in New York after graduation. As a former business major, she is interested in all areas of corporate law and compliance.

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